

# The Oregon coastal ocean data assimilation system: performance assessment

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D. Foley, L. Miller /NOAA/

## **Support by:**

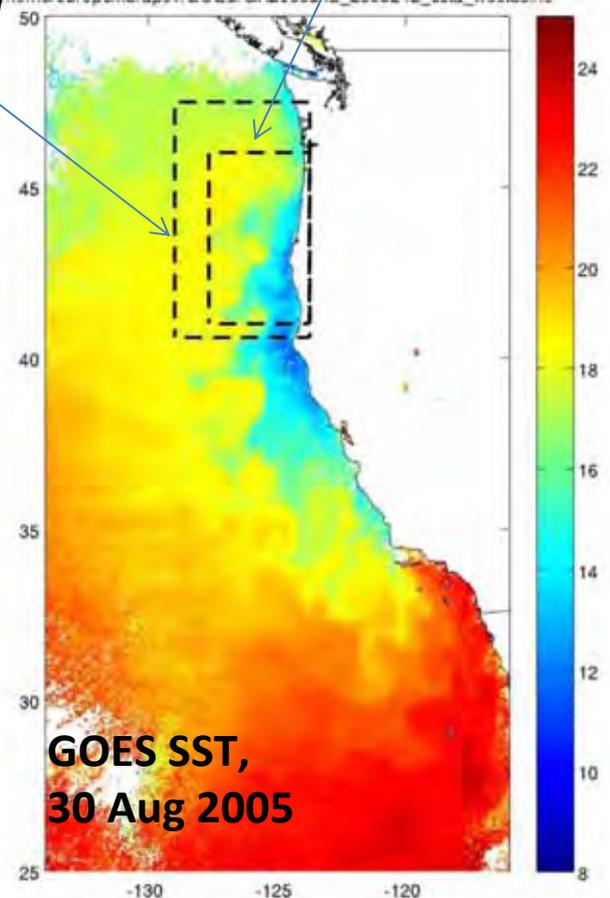
**ONR** (DA methods in the coastal ocean)

**NOAA** (IOOS-NANOOS: real-time forecast model;  
CLOSS: utility of RADS SSH, GOES SST)

**NSF** (influences of tide- and wind-driven flows,  
interior - coastal ocean interactions)

*3-km forecast  
model domain*

*1-km model*



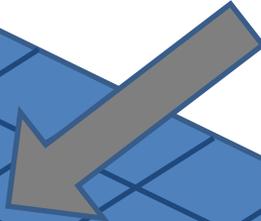
# A coastal/regional ocean model (such as Regional Ocean Modeling System – ROMS):

- Discretized equations of fluid mechanics and thermodynamics

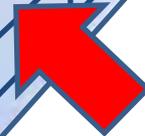
**Open boundary conditions:**



Atmospheric forcing  
(wind stress, heat flux, E-P)



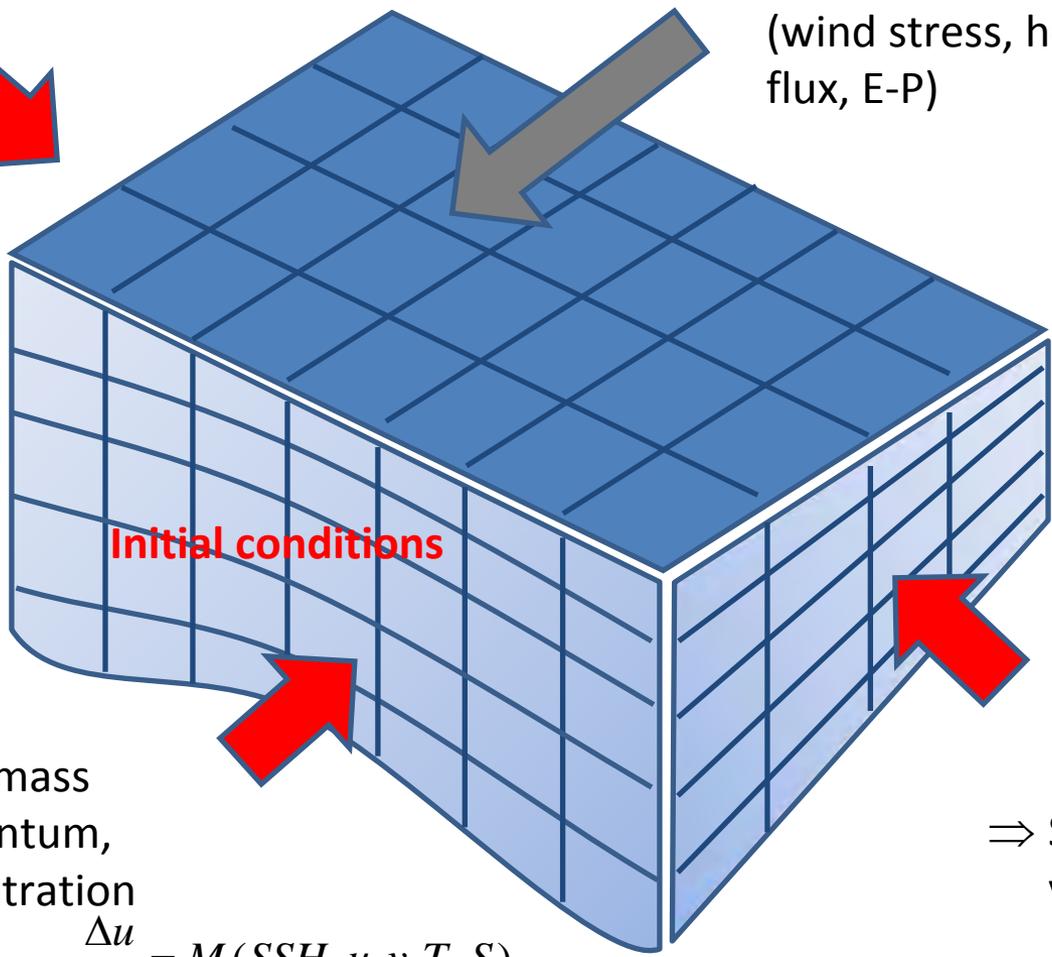
**Initial conditions**



Conservation of mass  
(volume), momentum,  
heat, salt concentration

$$\frac{\Delta u}{\Delta t} = M(SSH, u, v, T, S)$$

⇒ SSH(t), u(t),  
v(t), T(t), S(t)



## **Models can be used for:**

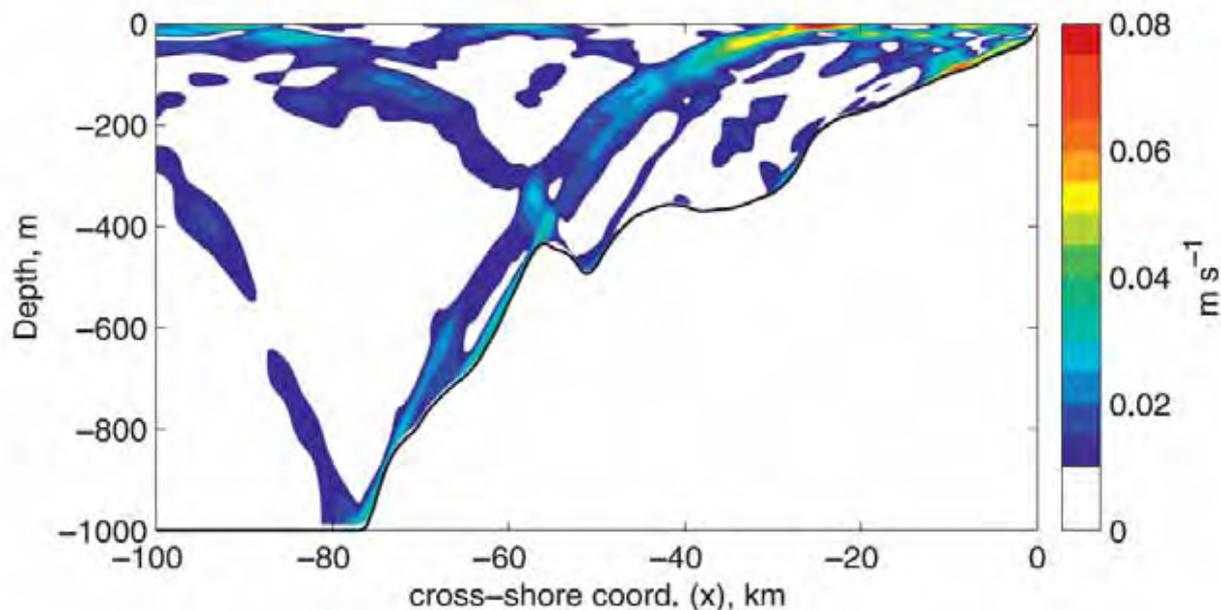
- Studies of ocean dynamics
- Prediction and forecasting of ocean conditions (esp., when combined with data – the task of data assimilation)

## Studies of combined effects of tidally and wind-driven coastal ocean circulation:

Internal tide = superinertial baroclinic motions near the tidal (e.g., dominant  $M_2$ ) frequency, forced by the barotropic tidal flow over topography

*Shown: the average speed associated with the  $M_2$  internal tide, increased along the beams of the internal tide propagation*

[based on the idealized model, Kurapov *et al.*, JPO, 2010]



Internal tide:

- has small scales ( $O(10\text{ km})$ ) over the shelf
- is intermittent (amplitude and phase are sensitive to background stratification and currents)
- potentially affects circulation on subtidal time scales

**A 3D model with realistic bathymetry,  
atmospheric, and tidal forcing**  
(Osborne et al., JPO, 2011)

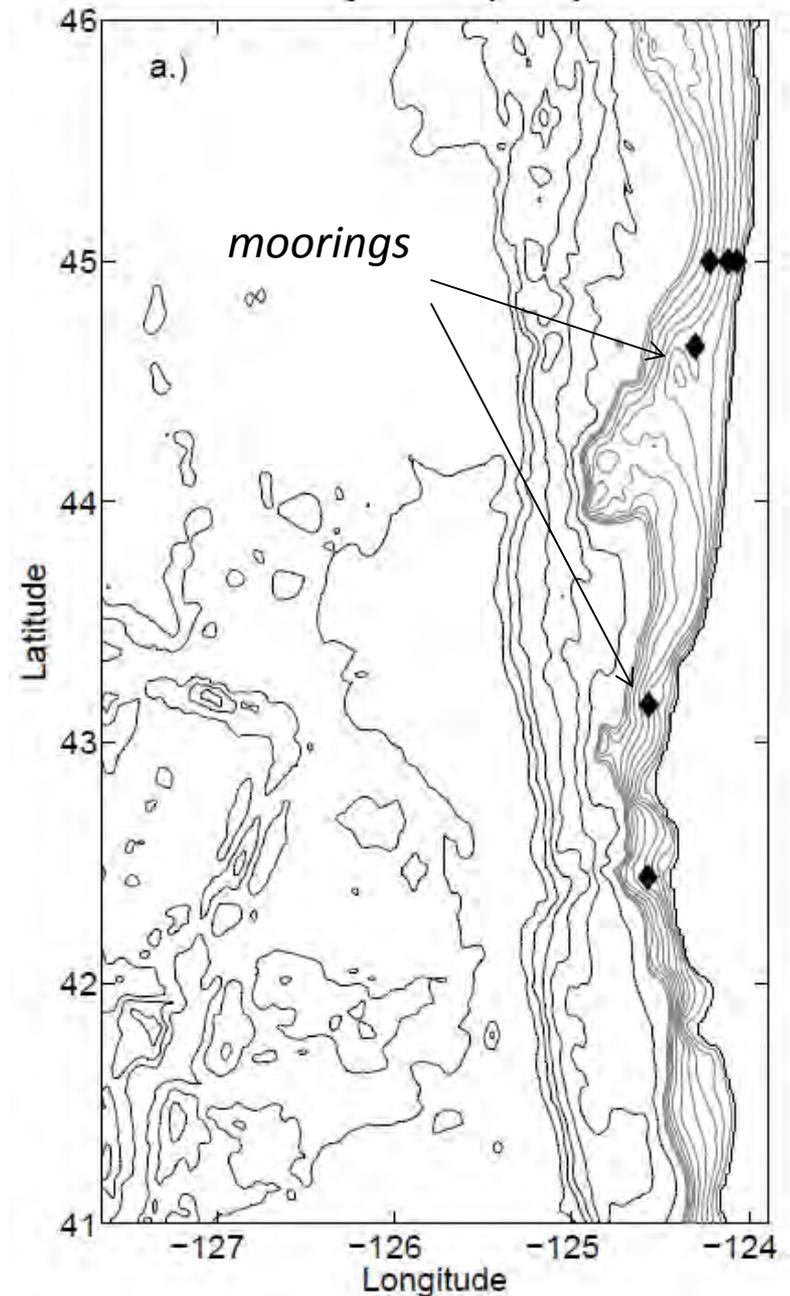
ROMS, 1-km horizontal resolution, 40 vertical layers; boundary conditions from a 3-km regional ROMS model (Koch, Kurapov, and Allen, JGR, 2010); atm. forcing – COAMPS.

Study period April-Aug 2002

Cases:

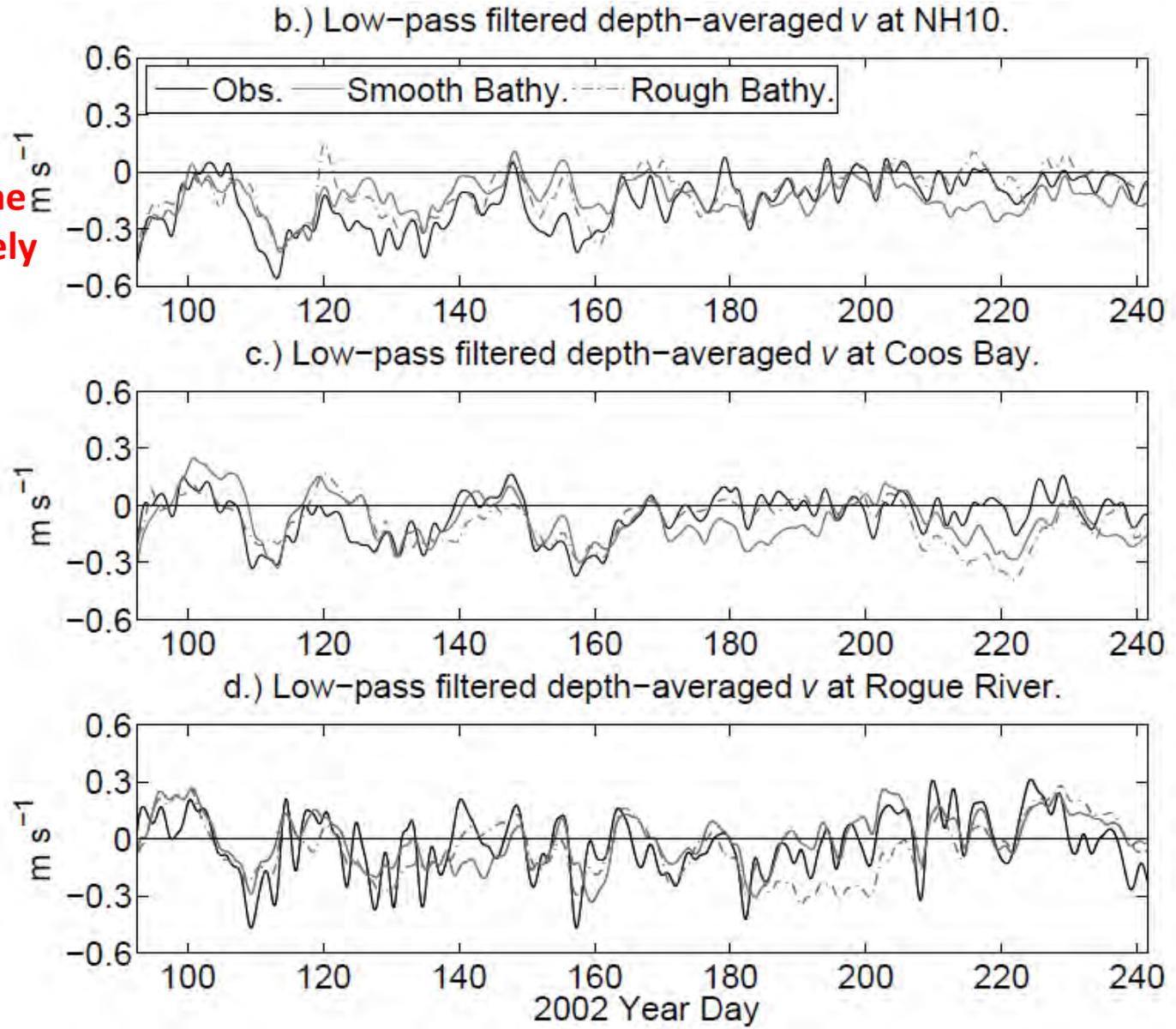
- WO (“winds only”)
- W+M2 (atm. forcing + dominant  $M_2$  component) [Osborne et al., JPO, 2011]
- TW (“tide+wind”, atm. forcing + 8 tidal components along the open boundary)

*Shown: model domain (300x500 km). Half-tone contours are every 20 m, from the coast to 200 m depth; black contours are at every 500 m.*



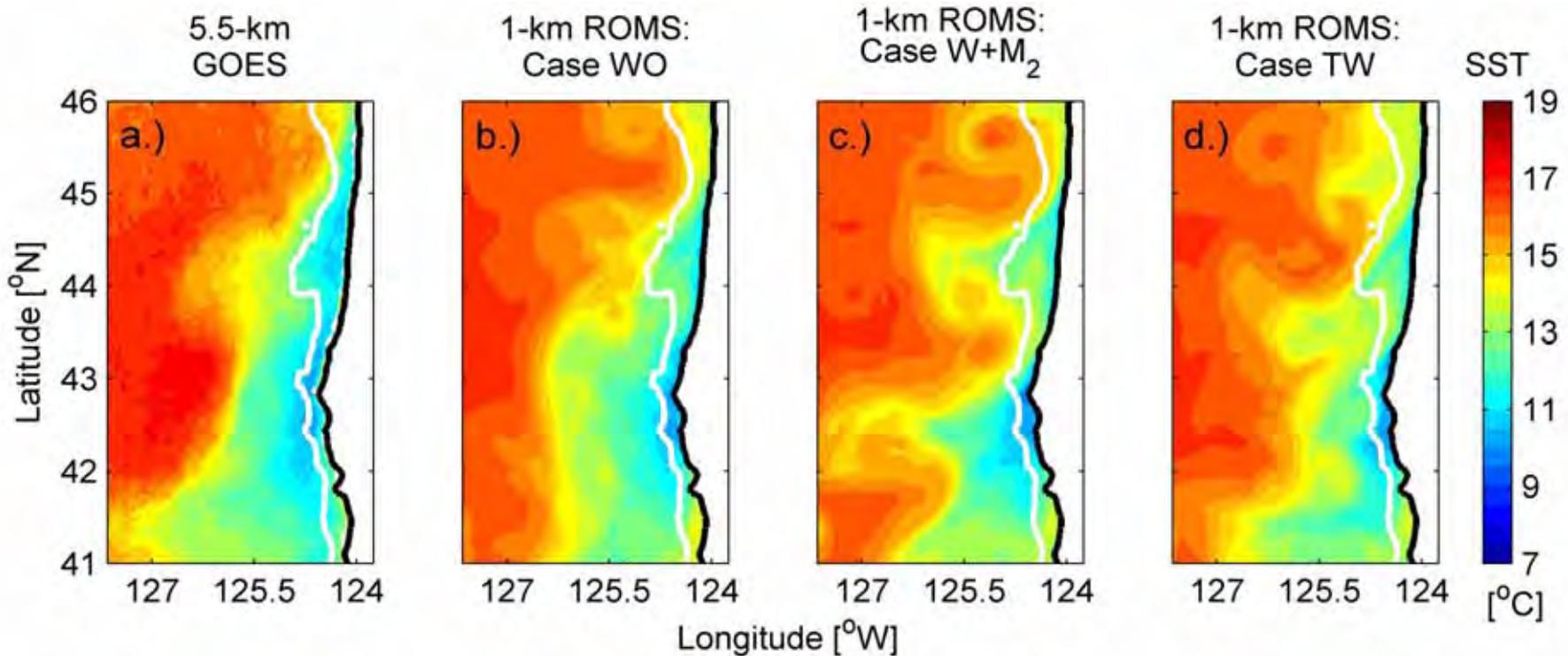
# Alongshore velocity sub-tidal variability is strongly wind-driven

The model reproduces currents over the shelf qualitatively well



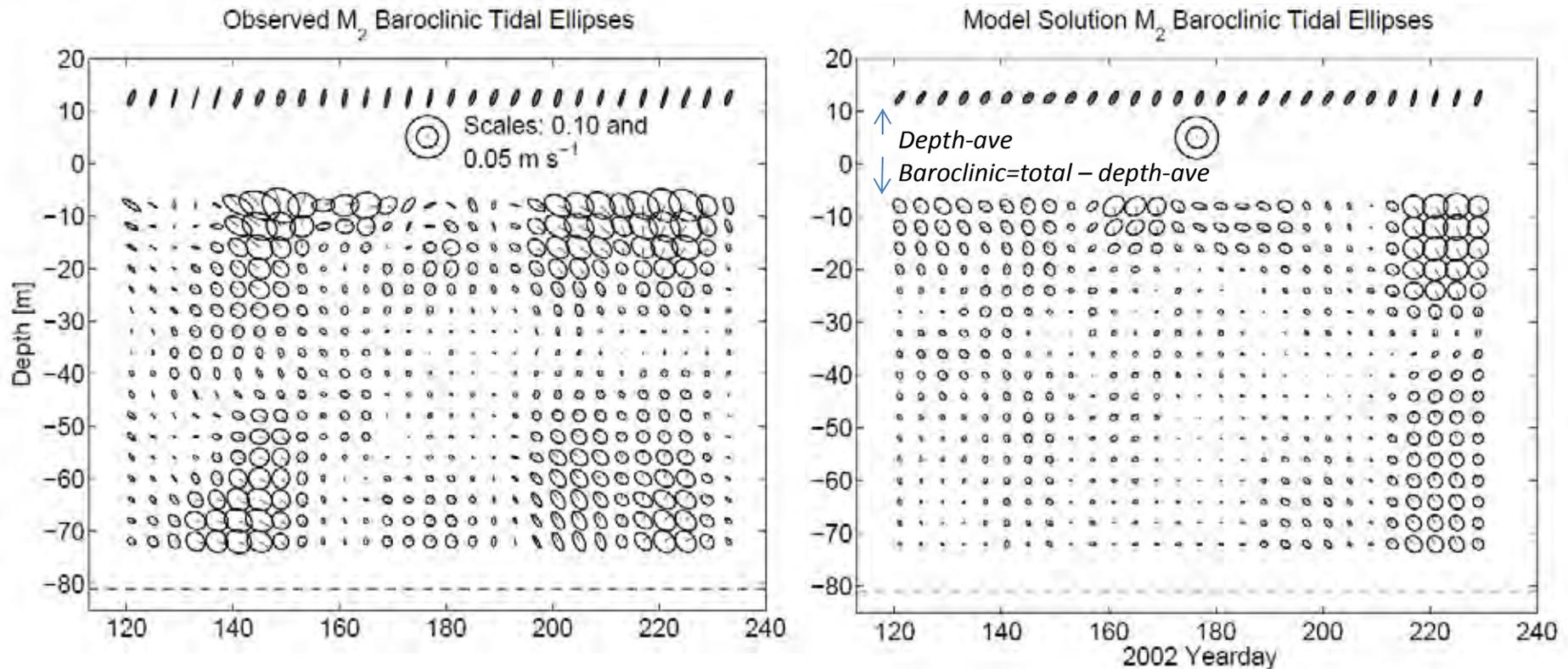
## Coastal transition zone (CTZ): coastal currents separate, the SST front moves westward as summer upwelling progresses

*Shown is monthly averaged SST, August 2002: (a) satellite, (b)-(d) model*



**The extent of the SST front in August is qualitatively correct**  
**Dynamics in CTZ are less predictable than dynamics on the shelf**  
**Modeled geometry of the SST front is sensitive to the tidal boundary conditions**

## Baroclinic tidal ellipses of horizontal currents (NH10 mooring location):

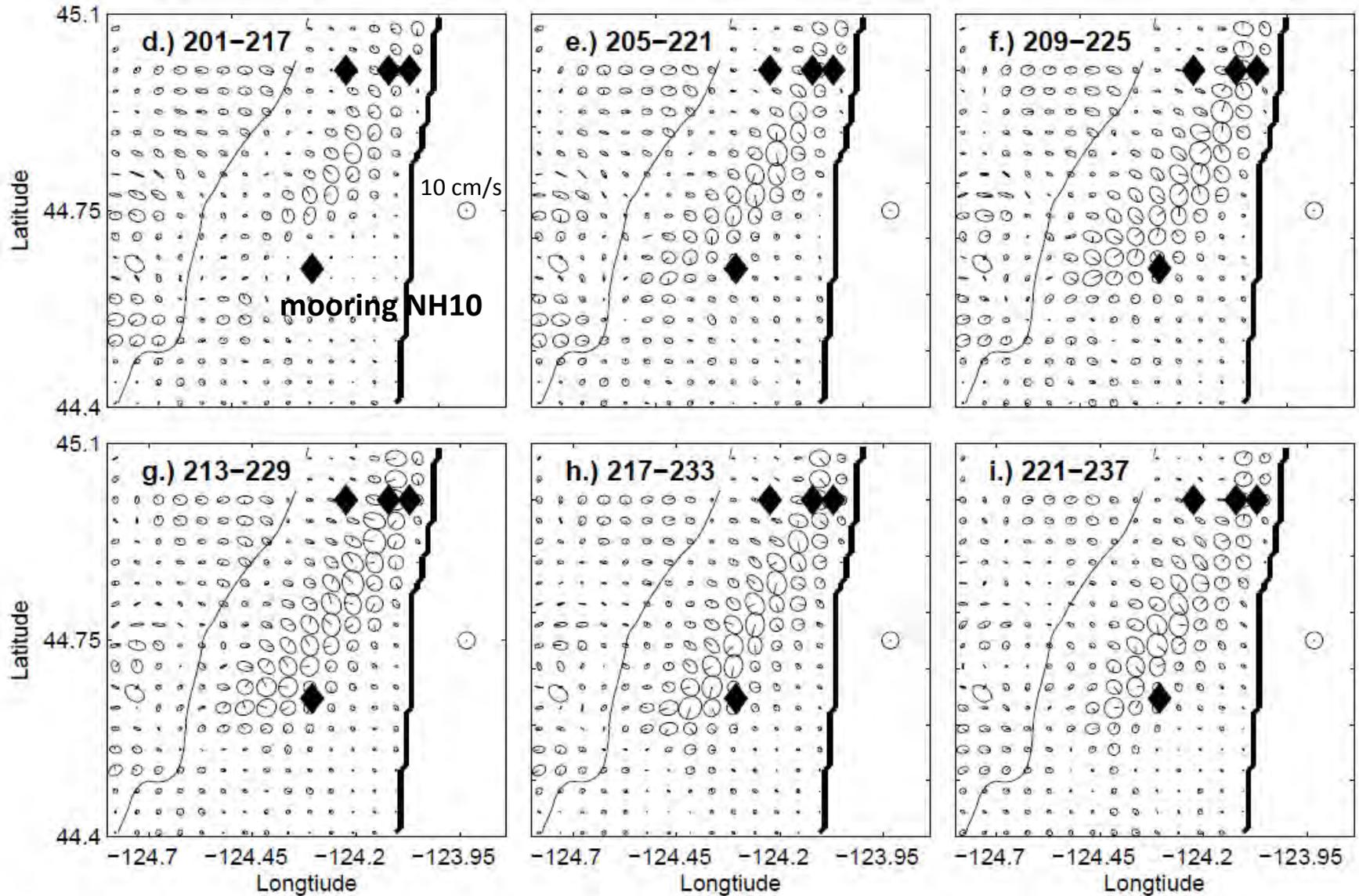


Each ellipse shows how large the horizontal  $M_2$  internal tidal currents are at each vertical level

The ellipses are obtained using harmonic velocity constants obtained in a series of (overlapping) 16-day time windows.

**Internal tides are intermittent;**  
**instances of large internal tide at a given location may be hard to predict**

**Surface baroclinic  $M_2$  tidal ellipses:** the area of the larger internal tide north of the NH10 mooring location

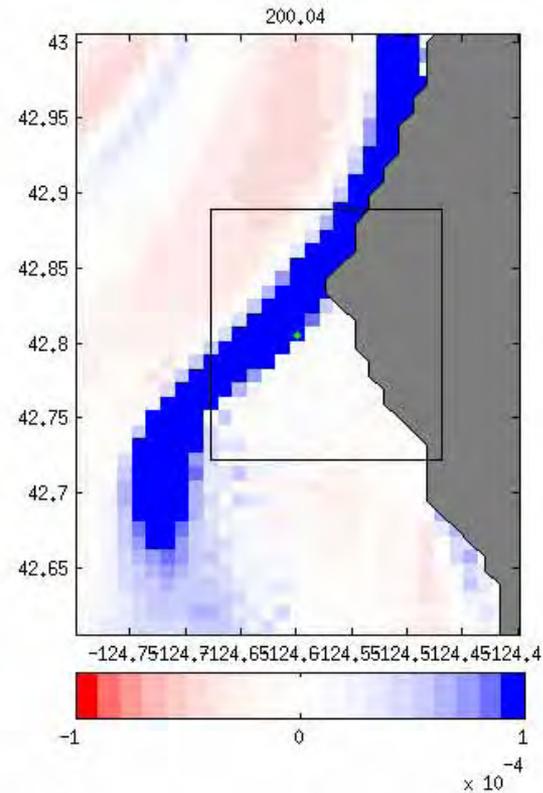
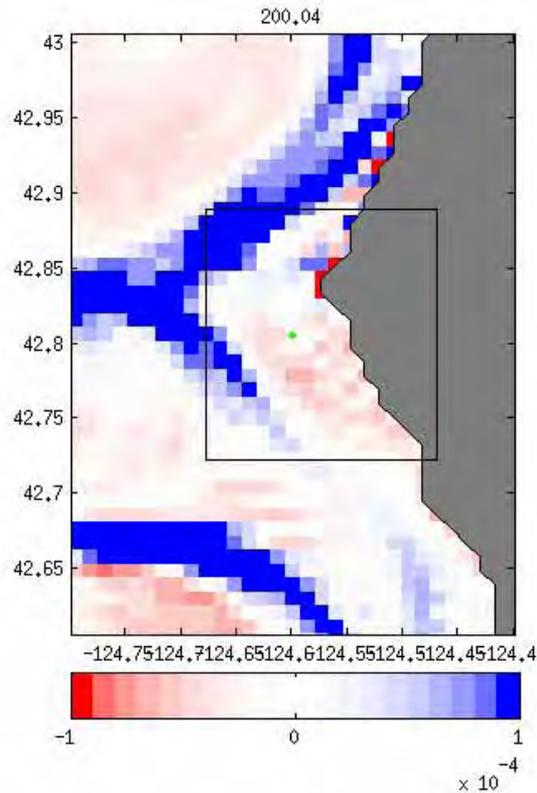


# Tidal variability affects separation of the coastal current at Cape Blanco

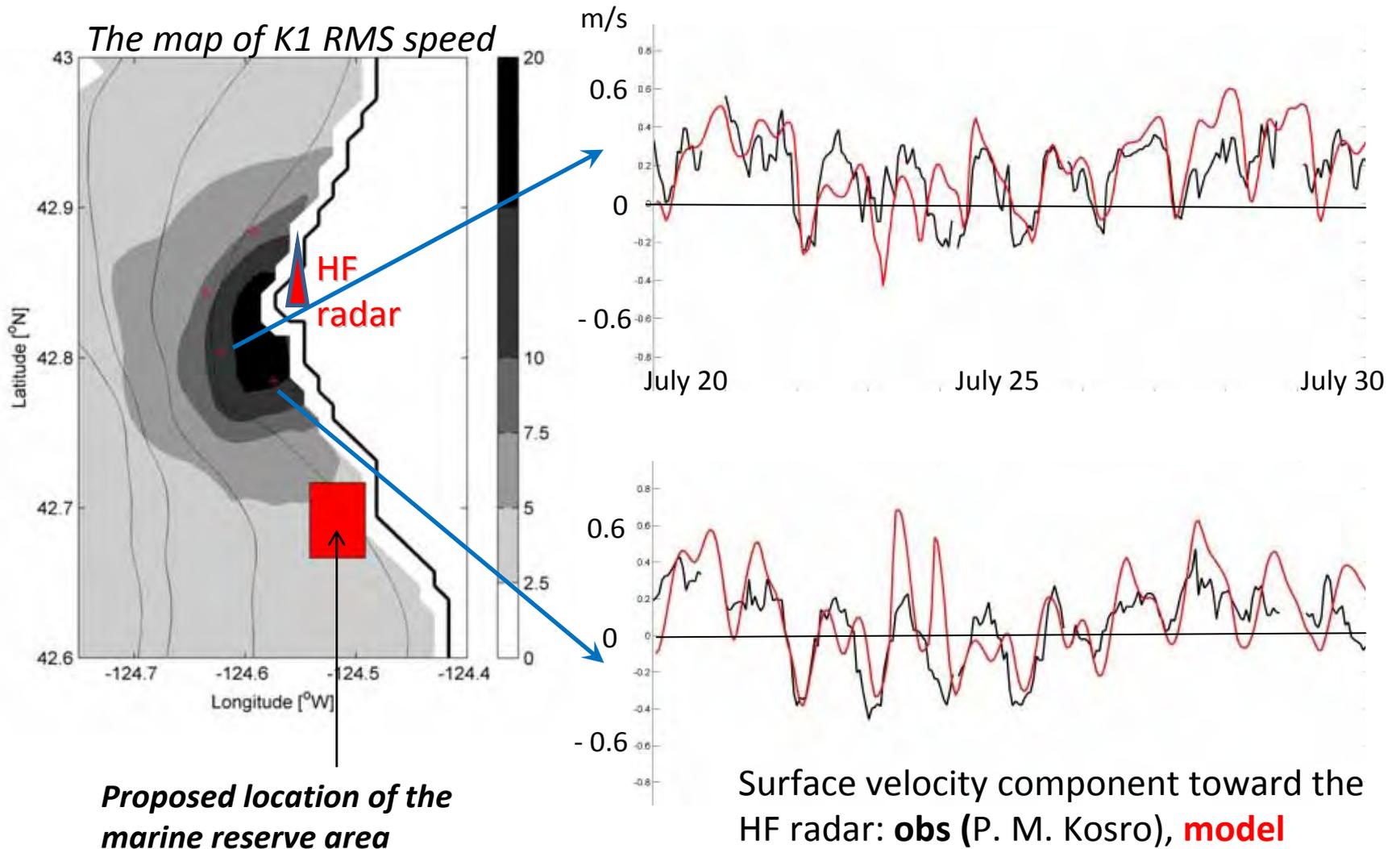
(movie: vorticity of the surface horizontal currents)  $\frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$

**Tide+Wind**

**Wind only**



# Both semi-diurnal ( $M_2+S_2$ ) and diurnal ( $K_1+O_1$ ) tides contribute to eddy variability near Cape Blanco

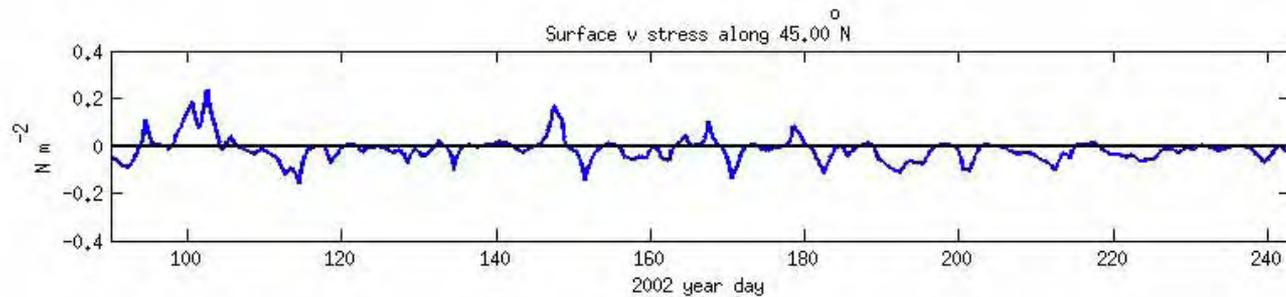
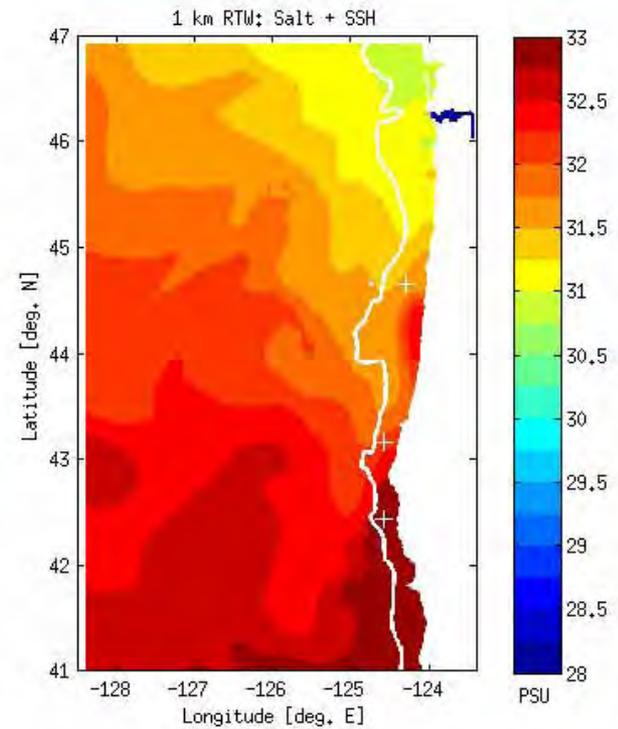
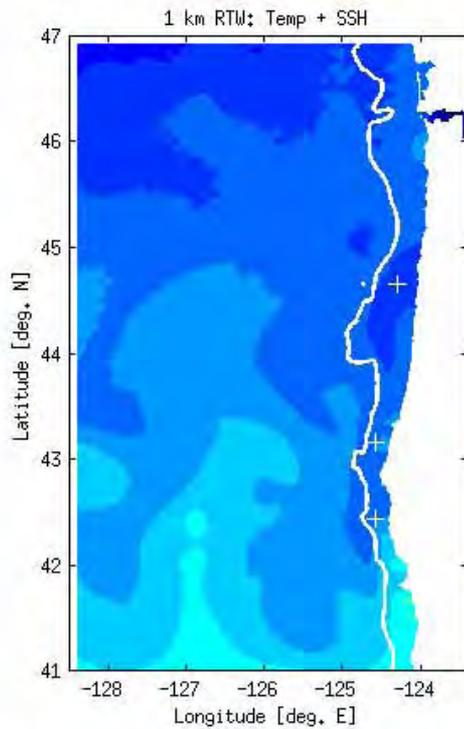


# Future directions : Effect of the Columbia R. on tidal and subtidal variability

(left) SST

(right) SSS

(simulation and animation courtesy J. Osborne)

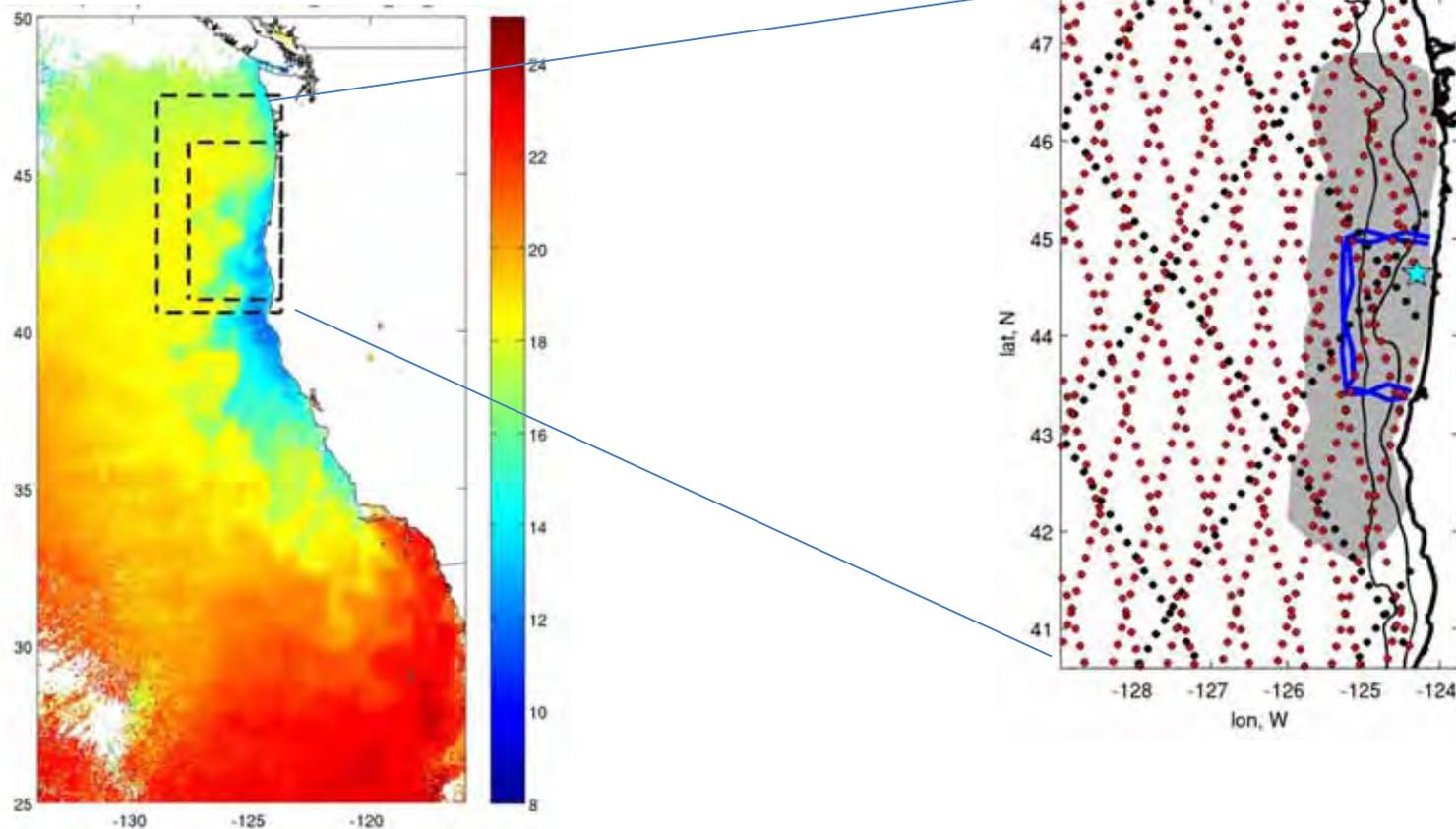


**Data assimilation (DA):** Model + Data = Improved Ocean State Estimate

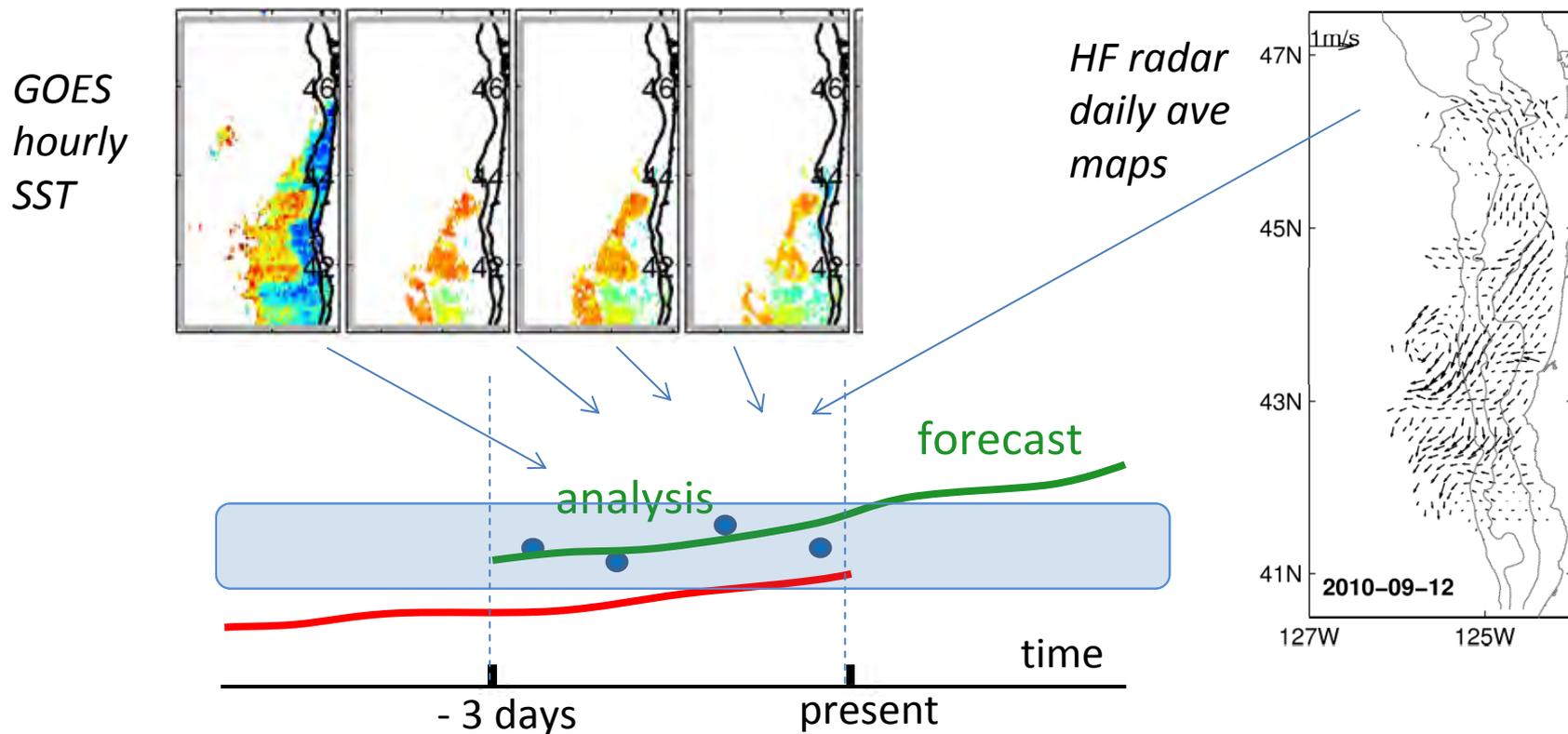
-A nonlinear optimization problem with many degrees of freedom

-Data are used to optimize model inputs (in particular, initial conditions for forecasts)

**Data that we have assimilated:** satellite SST, HF radar, alongtrack altimetry  
(also avail.: glider sections (Barth, Shearman), moorings)



# 4DVAR = dynamically based **time**- and **space**- interpolation of data



$$J(u) = (u(0) - u_0^B)^T C_0^{-1} (u(0) - u_0^B) + (d - Lu)^T C_d^{-1} (d - Lu) \rightarrow \min$$

- In each 3-day window, find the improved initial conditions (minimize the cost function)
- The nonlinear model, started from the improved initial conditions, yields the solution that is closer to the data (in a least squares sense), provides the forecast into future
- Correction is 3D and multivariate (due to model dynamics and model error covar.  $C_0$ )

**To implement the 4DVAR algorithm, a tangent linear model and its adjoint counterpart are required**



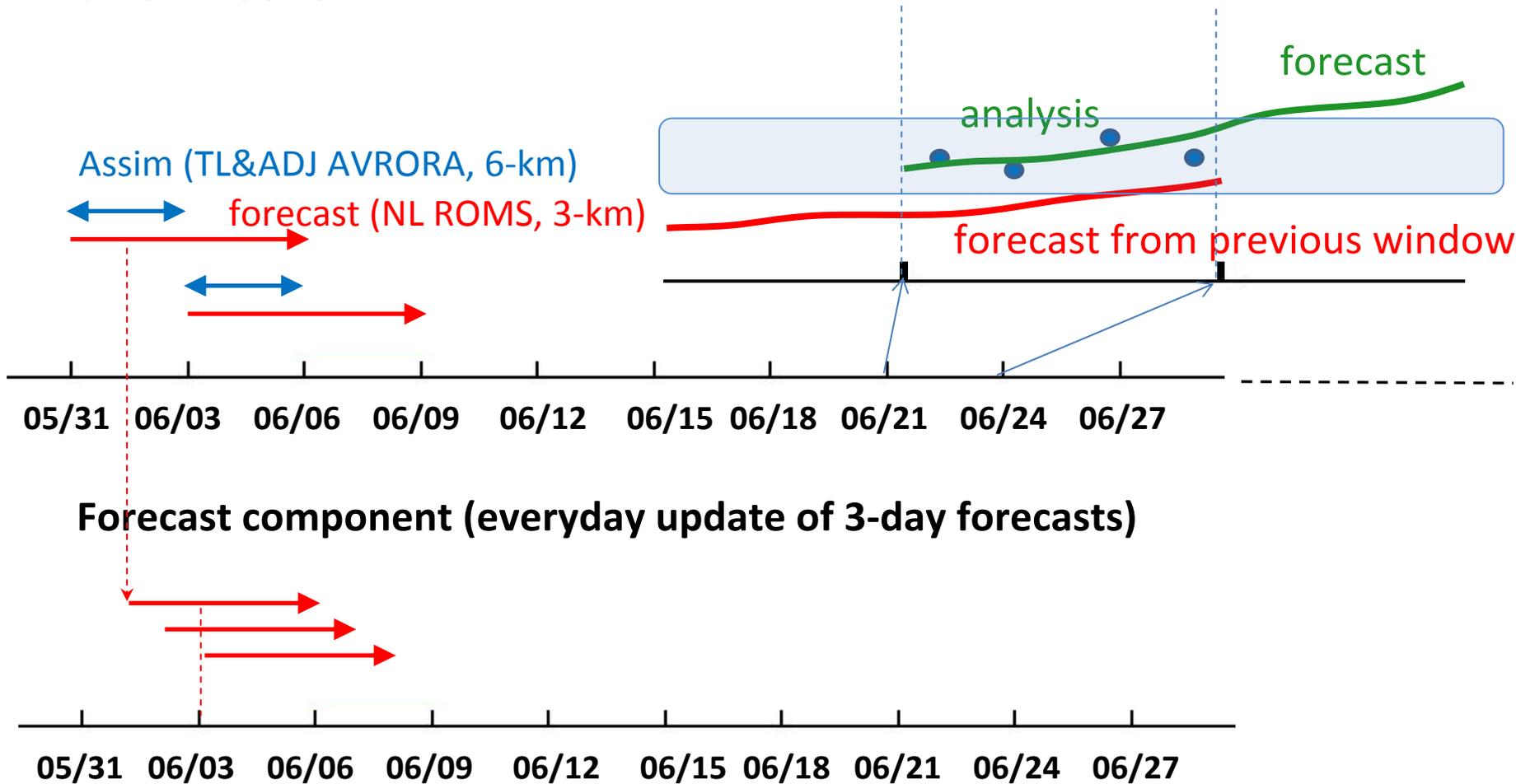
**(Advanced Variational Regional Ocean  
Representer Analyzer)**

- AVRORA is our own set of tangent linear (TL) and adjoint (ADJ) model codes, numerically and algorithmically consistent with ROMS
- Flexibility designing data functionals, model error covariances
- Preconditioning to speed-up convergence of the minimization algorithm

*The algorithm is fast enough to do assimilation in near-real time*

[Kurapov et al., *Dyn. Atm. Oc.*, 2009; *JGR*, 2011;  
Yu et al., *Oc. Mod.*, 2011 - submitted]

# Real-time coastal ocean forecast model: variational DA in a series of sliding time windows



## Model details:

Regional Ocean Modeling System (ROMS)

3-km horizontal resolution,

30 vertical layers

(assimilate at 6-km resolution, correction then interpolated to the 3-km grid)

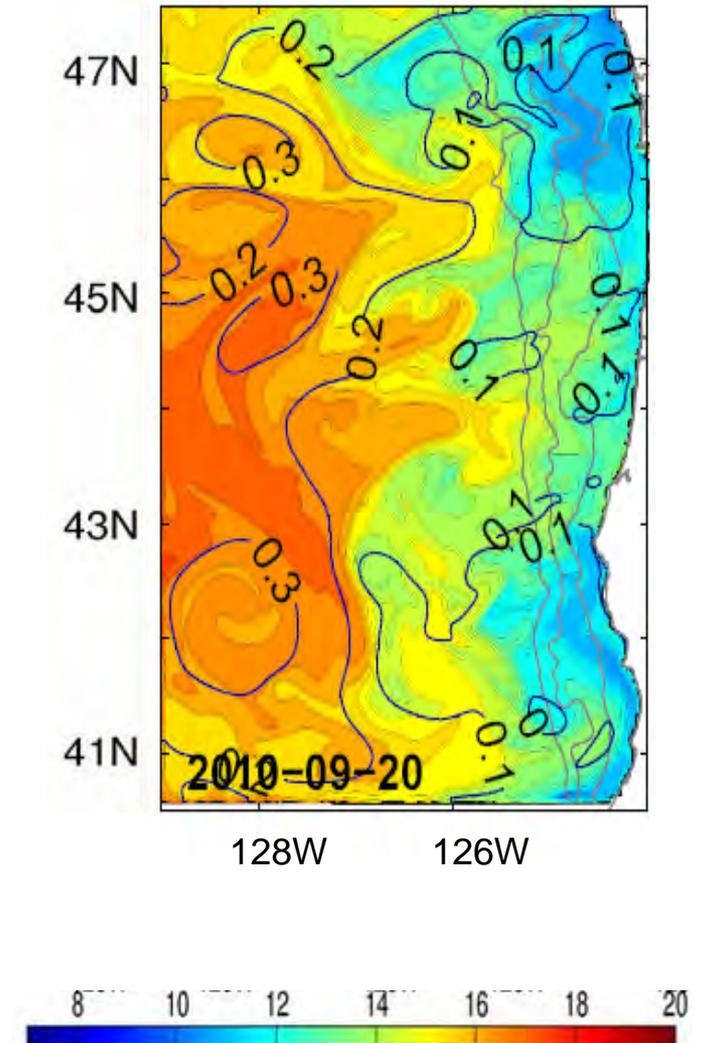
Atmospheric fluxes: NOAA –NAM forecasts

Boundary conditions: NCOM-CCS climatology

- Since 8/2010:  
assimilation of HF radar surface currents  
+ hourly GOES SST

- Since July 2011:  
assimilation of HFR currents  
+GOES SS  
+RADS alongtrack SSH

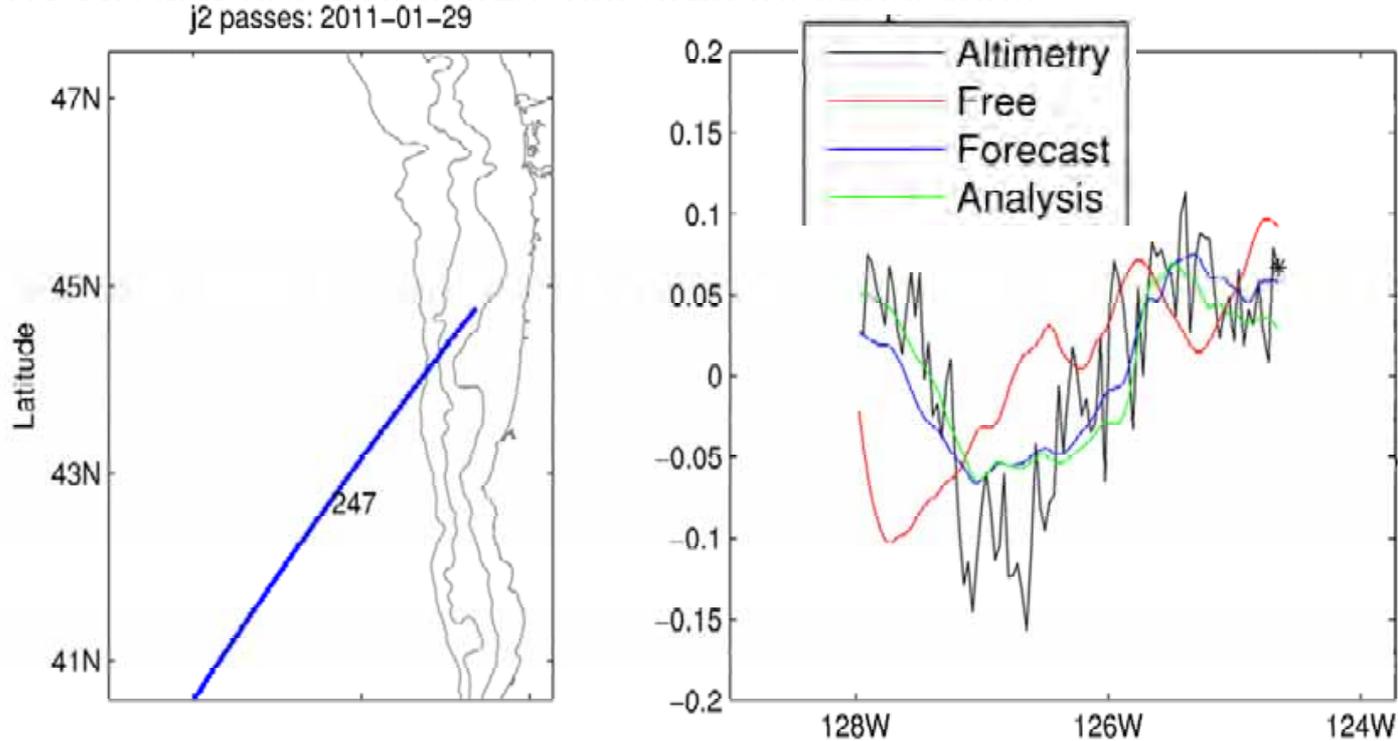
*(shown: forecast SST & SSH, Sept. 20, 2010)*



## Effect of DA on the coastal ocean surface topography

Initially, our real-time system assimilated only GOES SST and HFR surface currents

PANS SSH was not assimilated, but used for verification

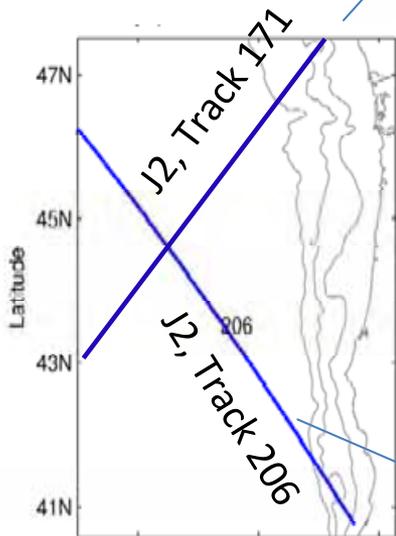
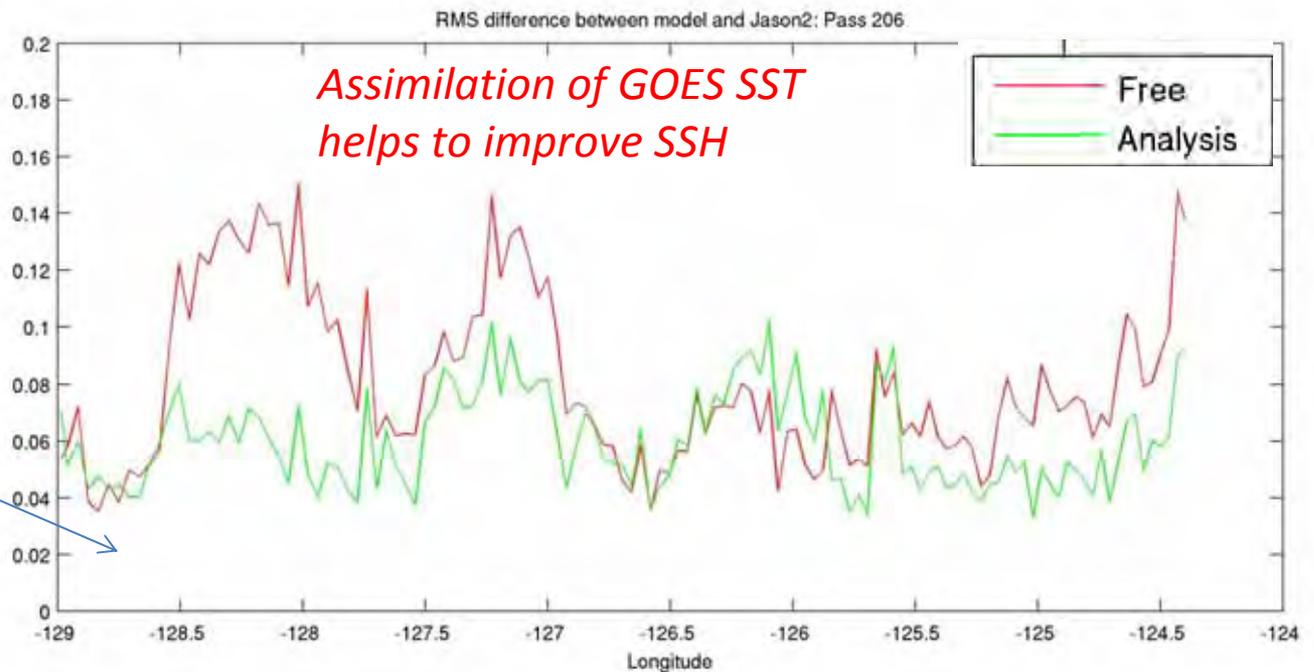
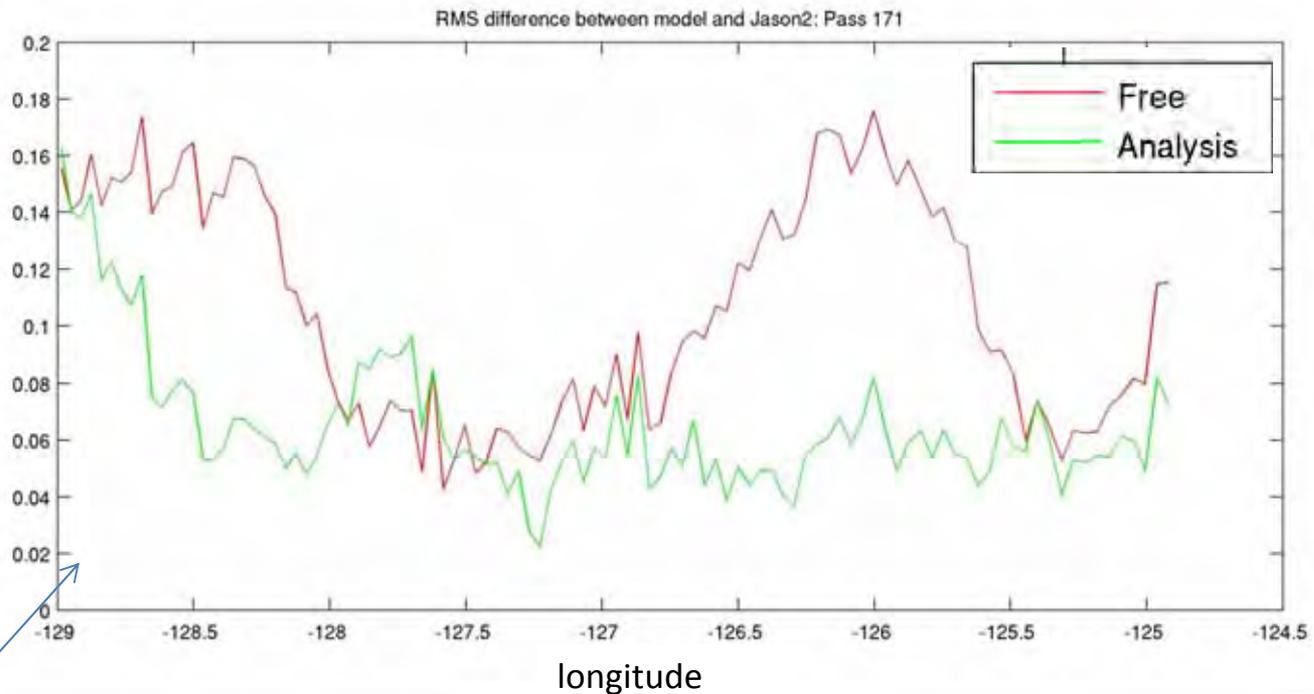


**Combined assimilation of SST and HF radar surface currents helps improve the slope of SSH**

**Improved statistics:  
Time-averaged model-data RMS difference for alongtrack SSH (m)**

(alongtrack means taken out)

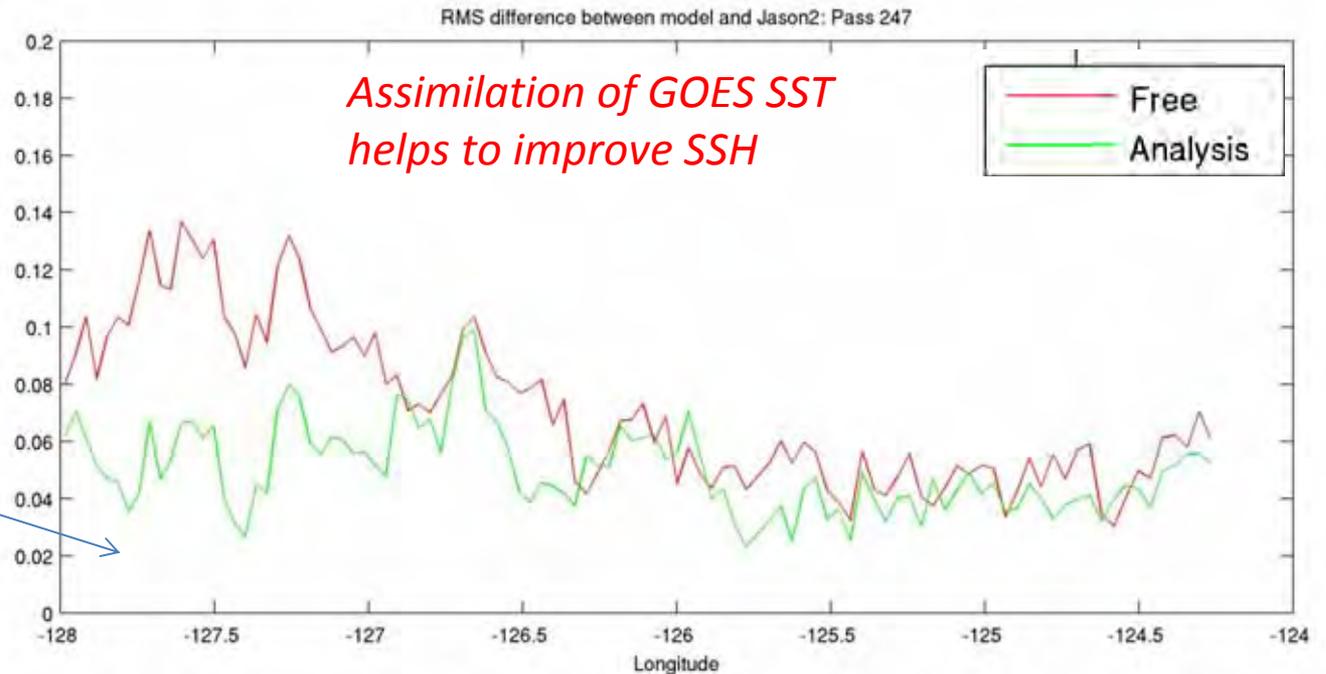
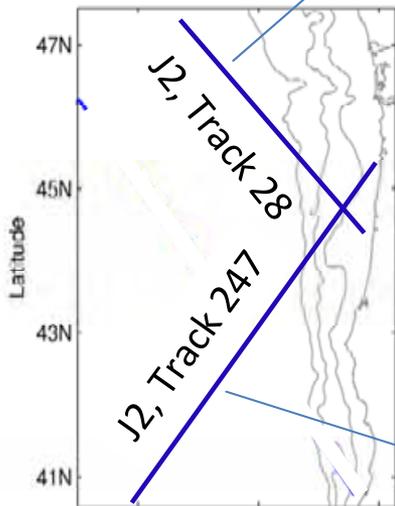
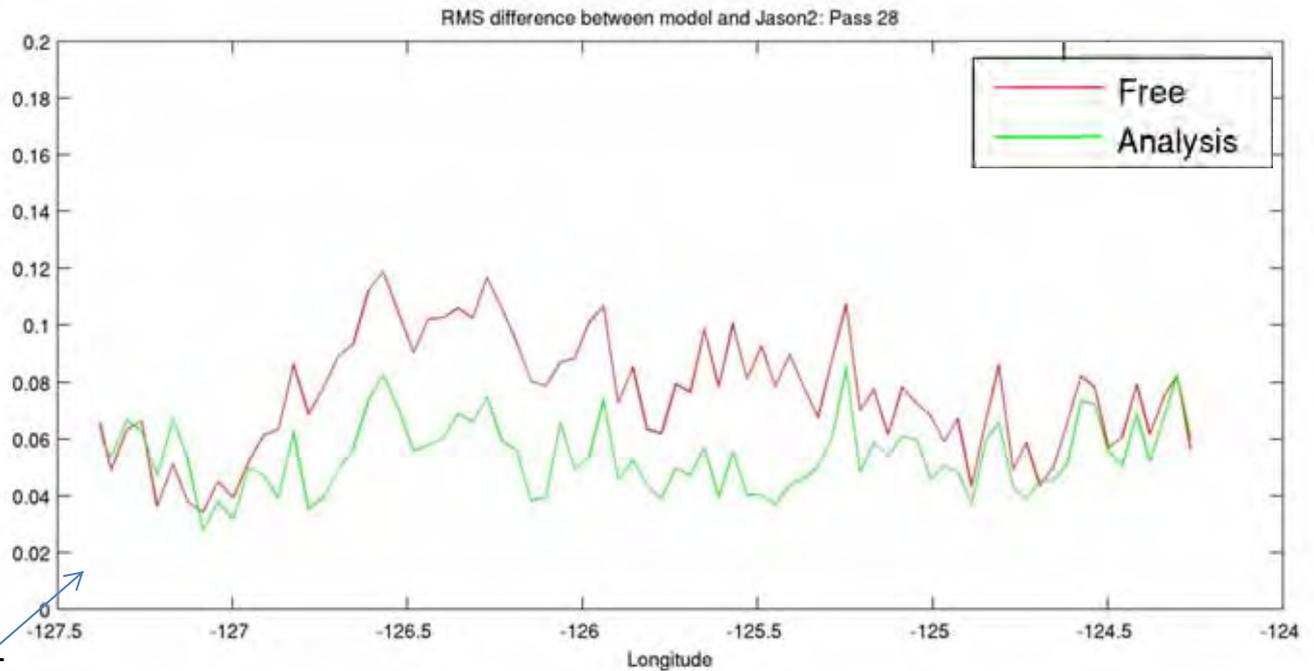
Aug 2010 – Jan 2011



**Improved statistics:  
Time-averaged model-data RMS difference for alongtrack SSH (m)**

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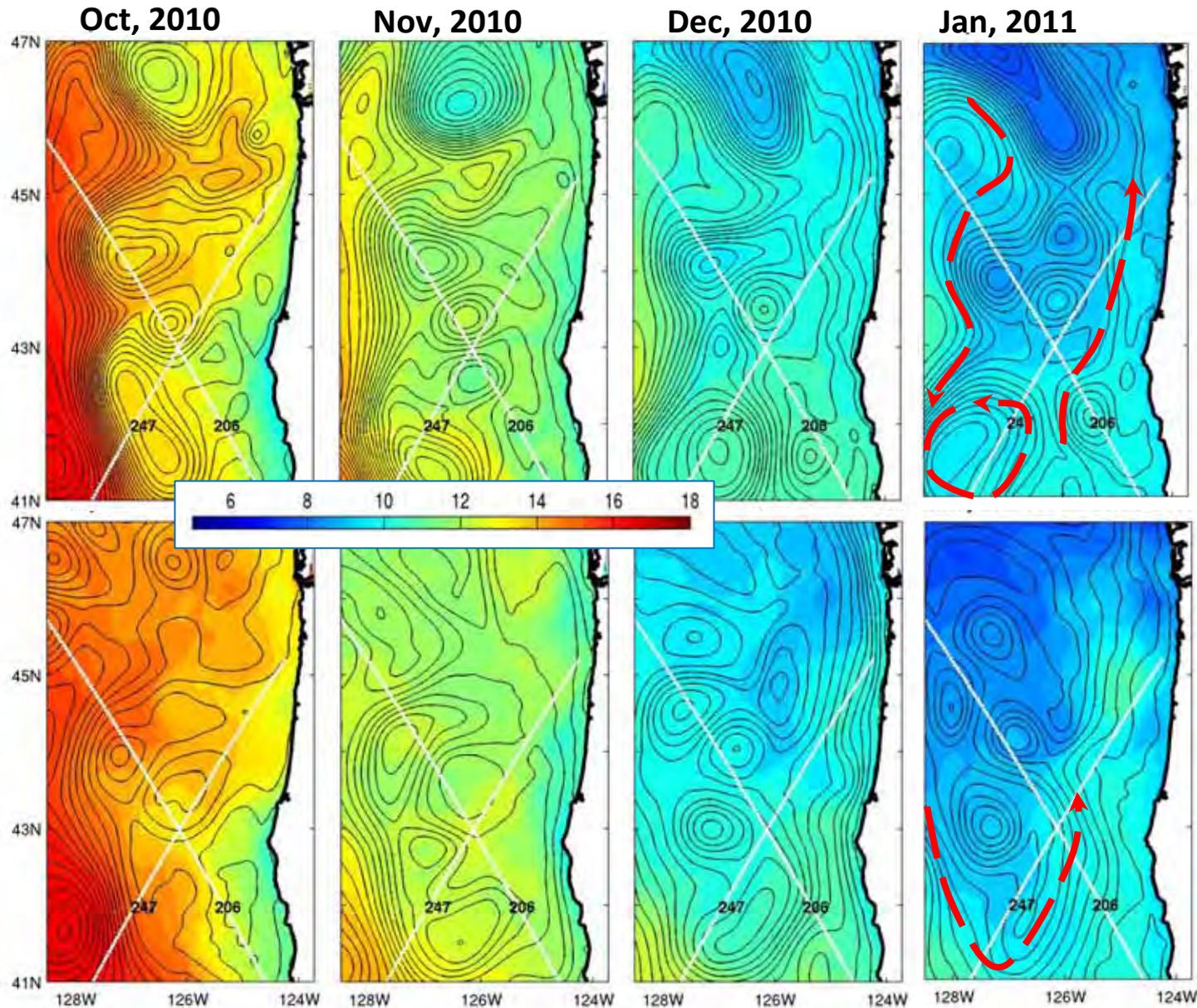
Aug 2010 – Jan 2011



# DA constrains connectivity of interior and coastal ocean in winter

Monthly Mean  
SST (color) and  
SSH (contours):

No  
assimilation



**Assimilation:**  
HF radar  
surface (u,v)  
and hourly  
GOES SST

## Area-averaged RMS model-data differences (SSH, SST, HFR surface currents)

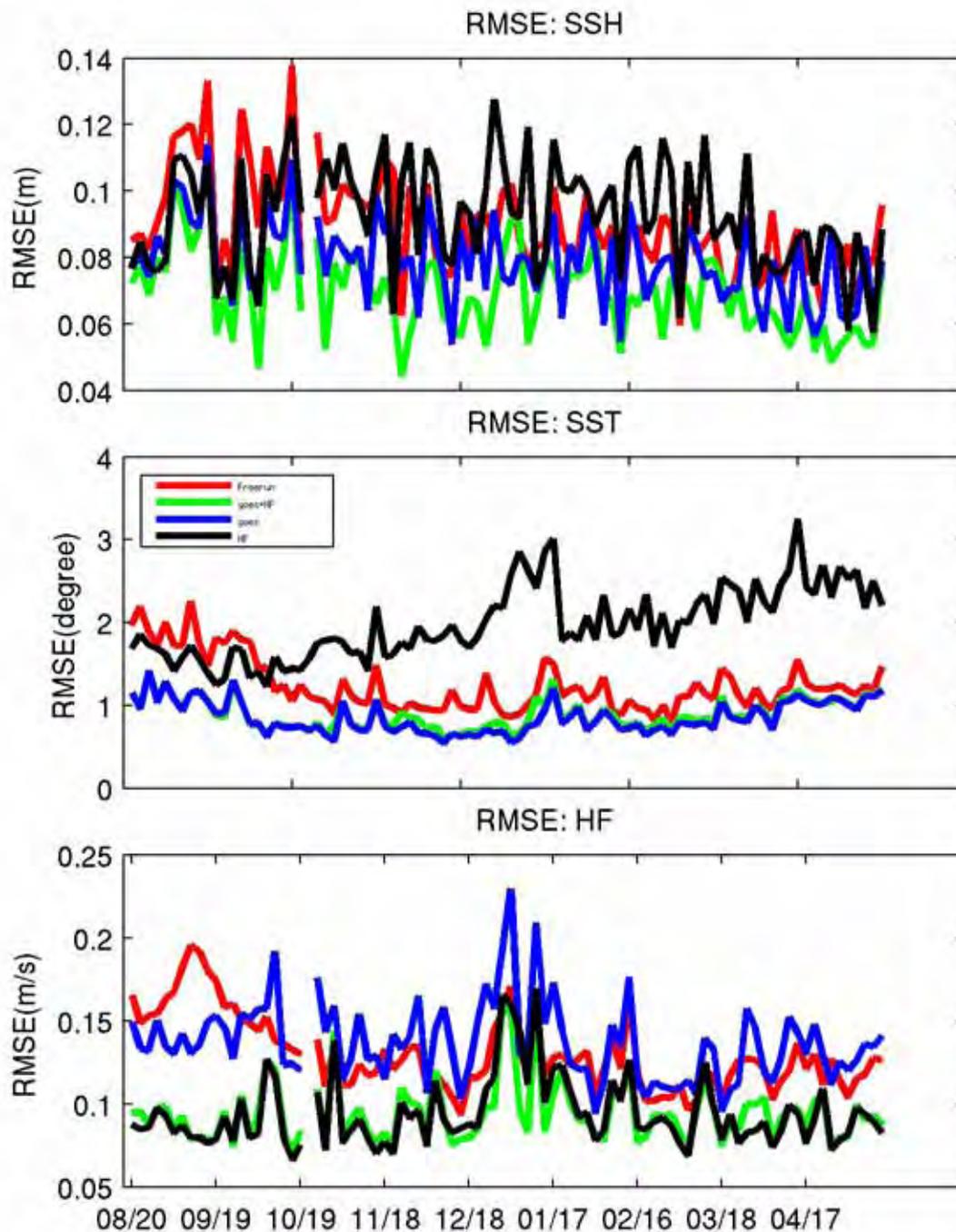
Cases: **no DA**, **DA SST+HF**, **DA SST**, **DA HF**

Notes:

-**Assimilation of surface currents alone:** no positive effect on SSH RMSE, inaccurate SST in winter

-**Assimilation of GOES SST alone:** some improvement of SSH RMS, compared to the no DA case (velocities not so good)

-**Combined assimilation of SST and HFR:** the strongest impact on SSH



## Impact of assimilation of RADS SSH in addition to SST and HFR currents

Shown are area-averaged, 3-day time-averaged model-data RMS differences (“data fit”):

**Free-run ROMS**

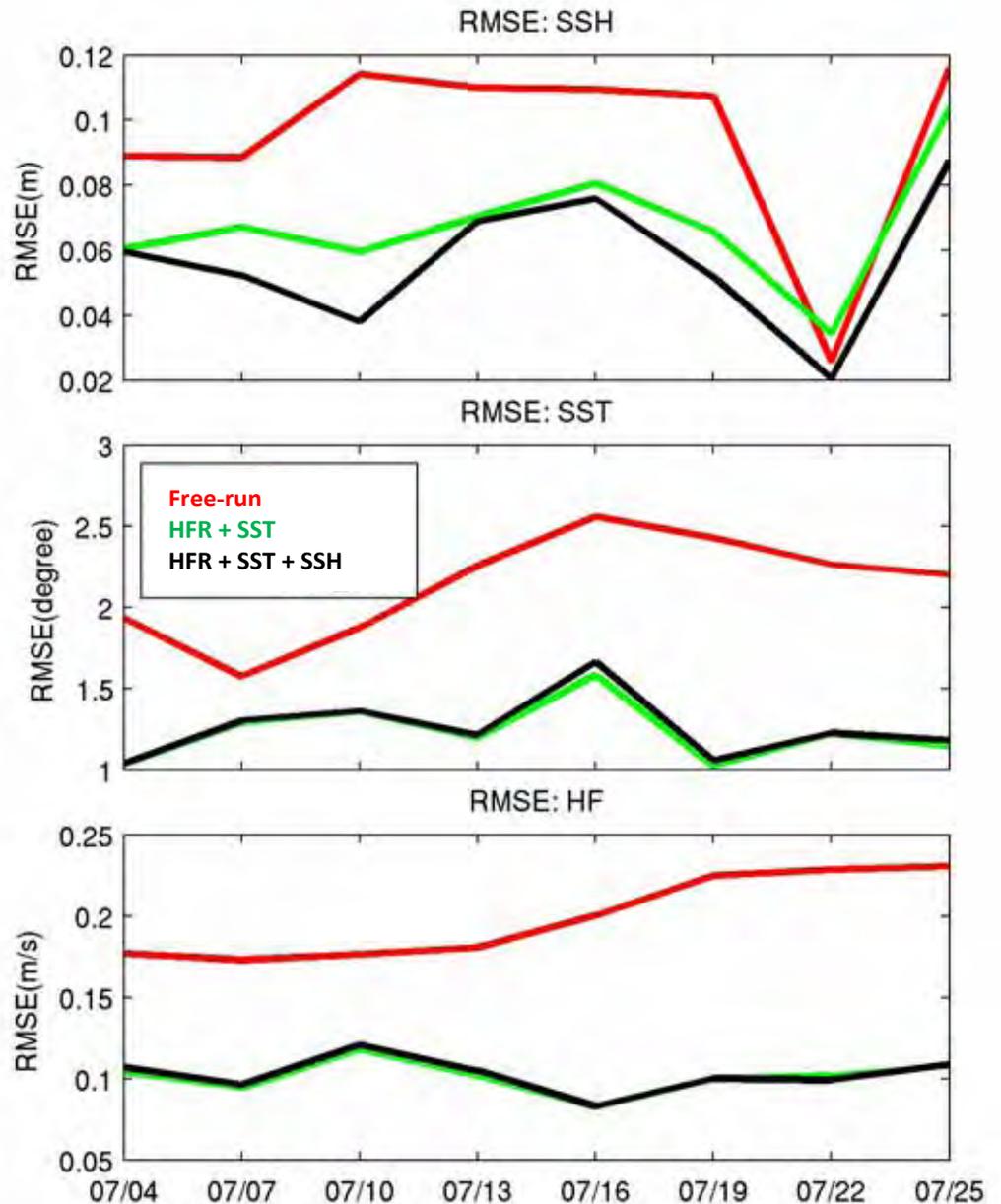
**DA: HFR + GOES SST**

**DA: HFR + GOES SST + RADS SSH**

Notes:

1) SSH assimilation additionally improves the fit to SSH

3) SSH assim.: no impact on surface velocity or SST RMSE (which are already constrained by assimilation )

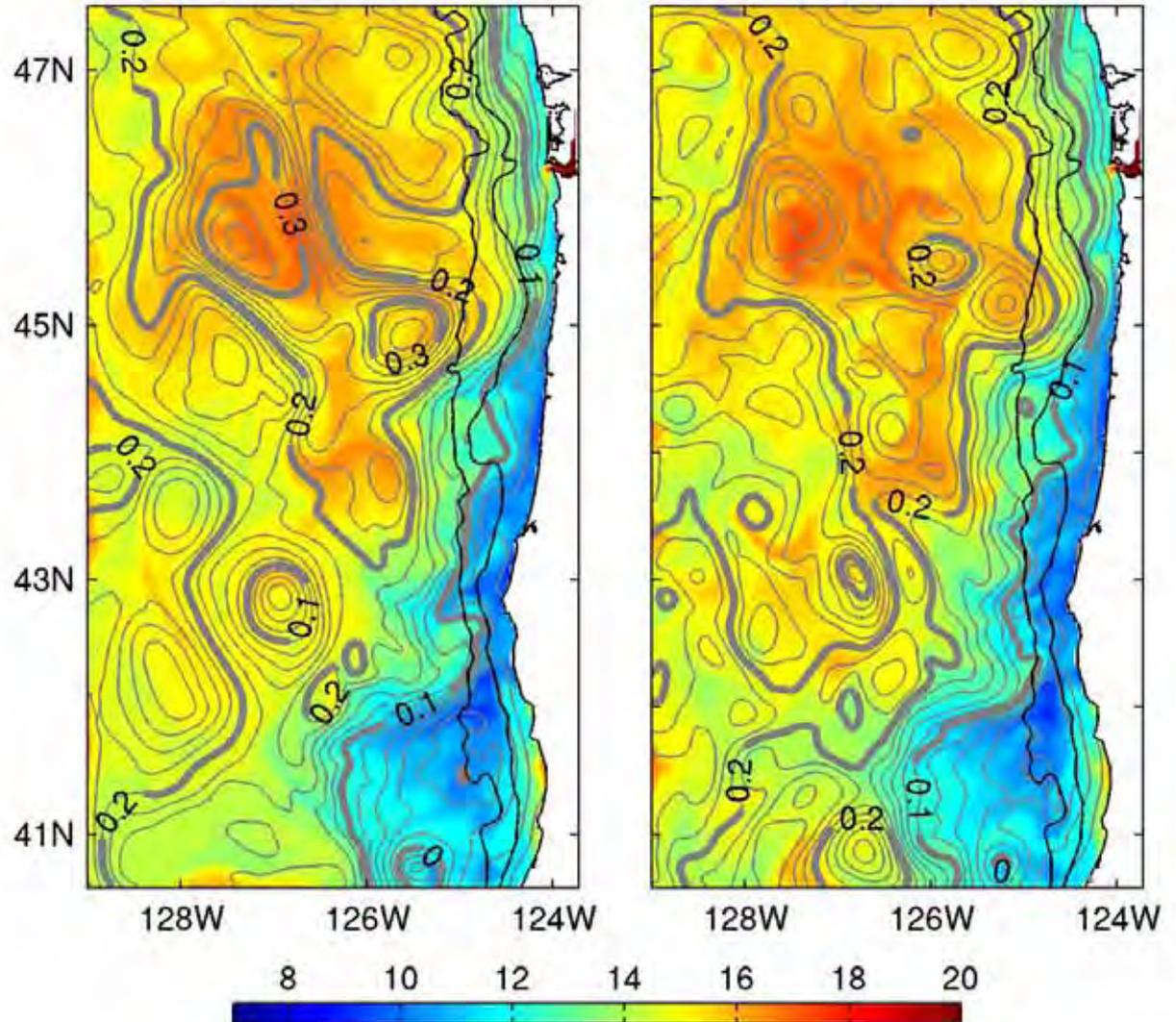


# Adding RADS alongtrack SSH to the set of assimilated data impacts details of the near-surface geostrophic transport

Shown: SST (color) and  
SSH (contours),  
1 August, 2011

(left) DA SST+HFR

(right) DA SSH+SST+HFR



## Future directions (ongoing):

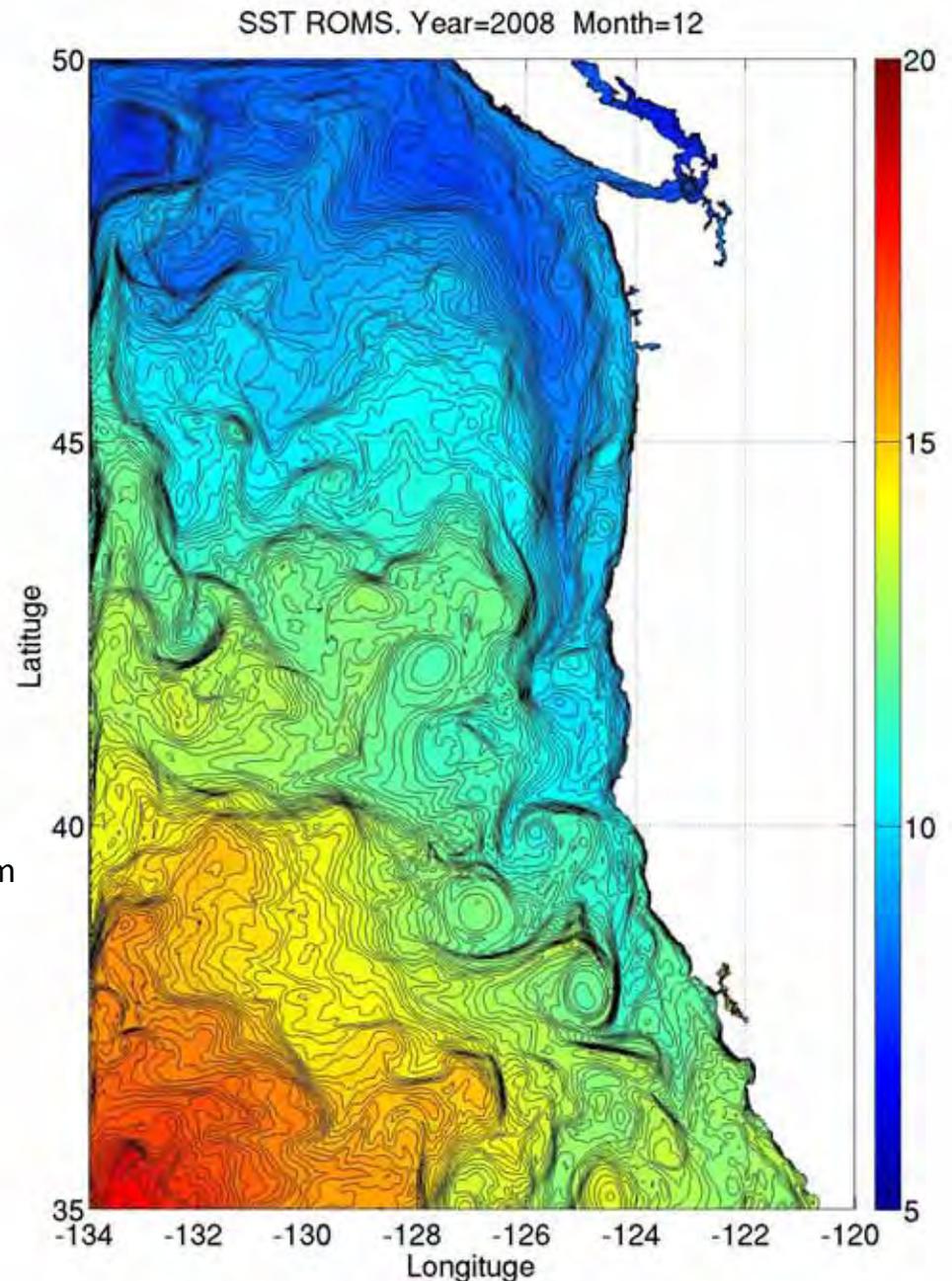
High-resolution modeling and assimilation in a larger domain

Multi-year simulations

Studies of larger scale (climate) variability on coastal ocean dynamics

*Shown (right): Monthly averaged SST (December 2008) from a 2-km ROMS model. Boundary conditions are provided from global 1/12<sup>th</sup> degree resolution HYCOM. Atmospheric forcing: 12 km resolution NOAA NAM*

*[Preliminary results and graphics:  
P. Fayman (OSU)]*



## Summary:

- The 1-km model based on ROMS yields an accurate solution, facilitating studies of wind-, tidally-, and river-plume-driven coastal ocean circulation off Oregon
- Semi-diurnal internal tides are sensitive to background conditions
- Near capes, diurnal tides are amplified and contribute to eddy variability
- Combined assimilation of hourly GOES SST and HF radar surface currents provides improvement of the model SSH in the coastal area off Oregon (both summer upwelling and winter downwelling seasons)
- Assimilation of SST alone or HFR currents alone does not have a comparable, positive impact on SSH
- Variational data assimilation provides is a dynamically based time- and space interpolation of data. Assimilation can positively affect fields that are not directly observed