

# **Potential biological mechanisms of anchovy and sardine alternations: Species-specific temperature optima and synergistic factors**

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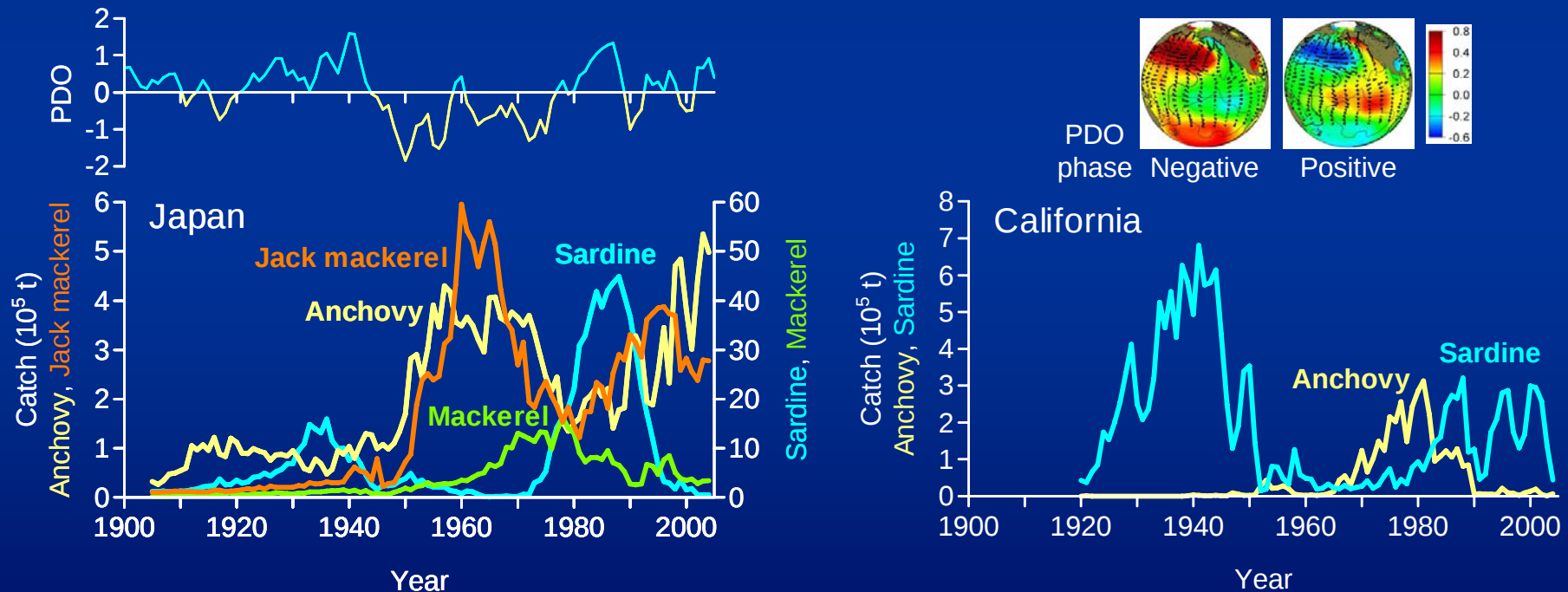
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**PICES XV S6-3210, Yokohama, Japan, October 17, 2006**

# Multi-species regime shift

## *Climate changes and multi-species regime shifts*

- Small pelagic fish have exhibited cyclic population dynamics in the complex marine ecosystems worldwide.
- The patterns have been associated with climate changes.
- However, the biological processes have remained unclear.



Multi-species regime shifts of small pelagic fish and synchronous anchovy and sardine alternations under the reversed temperature regimes across the Pacific in response to Pacific Decadal Oscillation (PDO) (Mantua et al. 1997).

# Questions

## *Preliminary question*

**Why do even subtle environmental changes sometimes trigger drastic fish regime shifts?**

## *Key question*

**Why do anchovy flourish and sardine collapse or vice versa under the same ocean regime?**

## *Target question*

**Why were anchovy and sardine regime shifts synchronous across the Pacific?**

## *Extended question*

**Why do some species show synchronous patterns, while others show out-of-phase patterns?**

**First, we explored the simplest and most direct pathway to explain the biological processes of multi-species regime shifts.**

# Differential optimal temperatures for growth rates during early stages between anchovy and sardine



*Preliminary question*

**Why do even subtle environmental changes sometimes trigger drastic fish regime shifts?**

*Key question*

**Why do anchovy flourish and sardine collapse or vice versa under the same ocean regime?**

# Objectives

Relationships between growth rates during early life history stages and sea temperature were examined for Japanese **anchovy** and **sardine**.



*Engraulis japonicus*

VS.

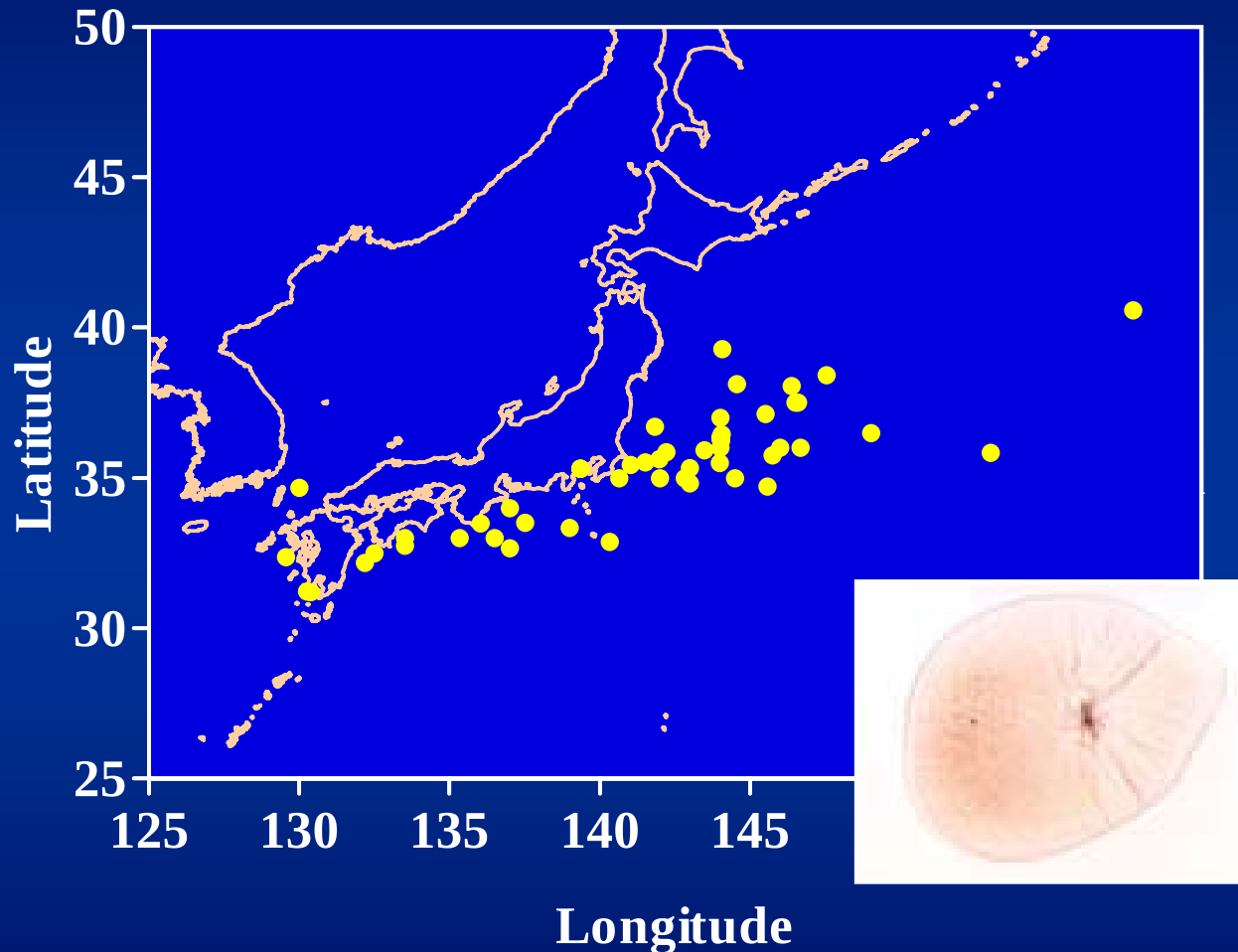


*Sardinops melanostictus*

**“Optimal growth temperature”** hypothesis:  
A potential biological mechanism for  
**anchovy** and **sardine** regime shifts?

# Samples

At 50 stations during 1990–2004



## Larval **anchovy**



- All seasons
- 34 samples
- 2041 larvae
- 6–35 mm SL

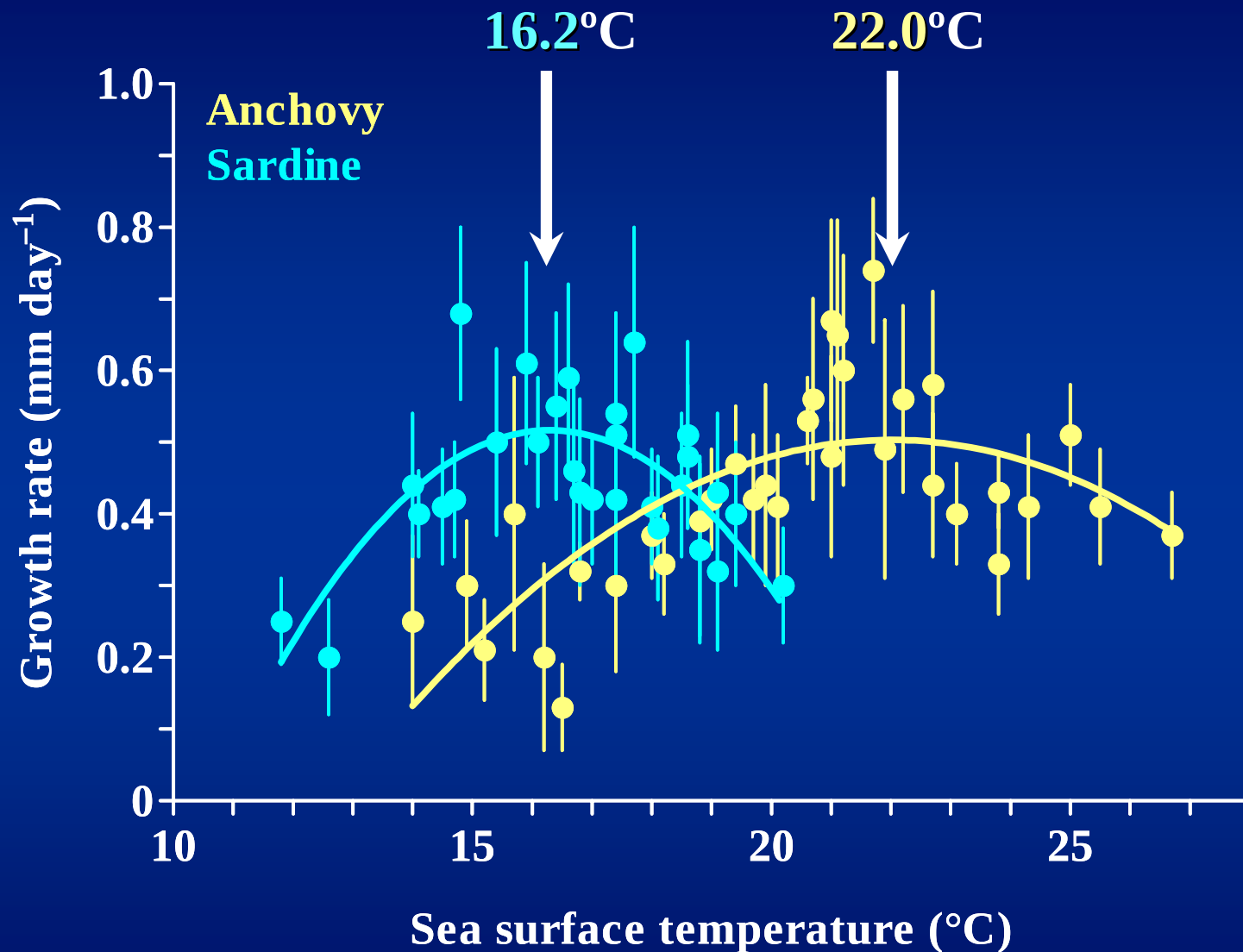
## Larval **sardine**



- Jan.–Jun.
- 30 samples
- 766 larvae
- 8–35 mm SL

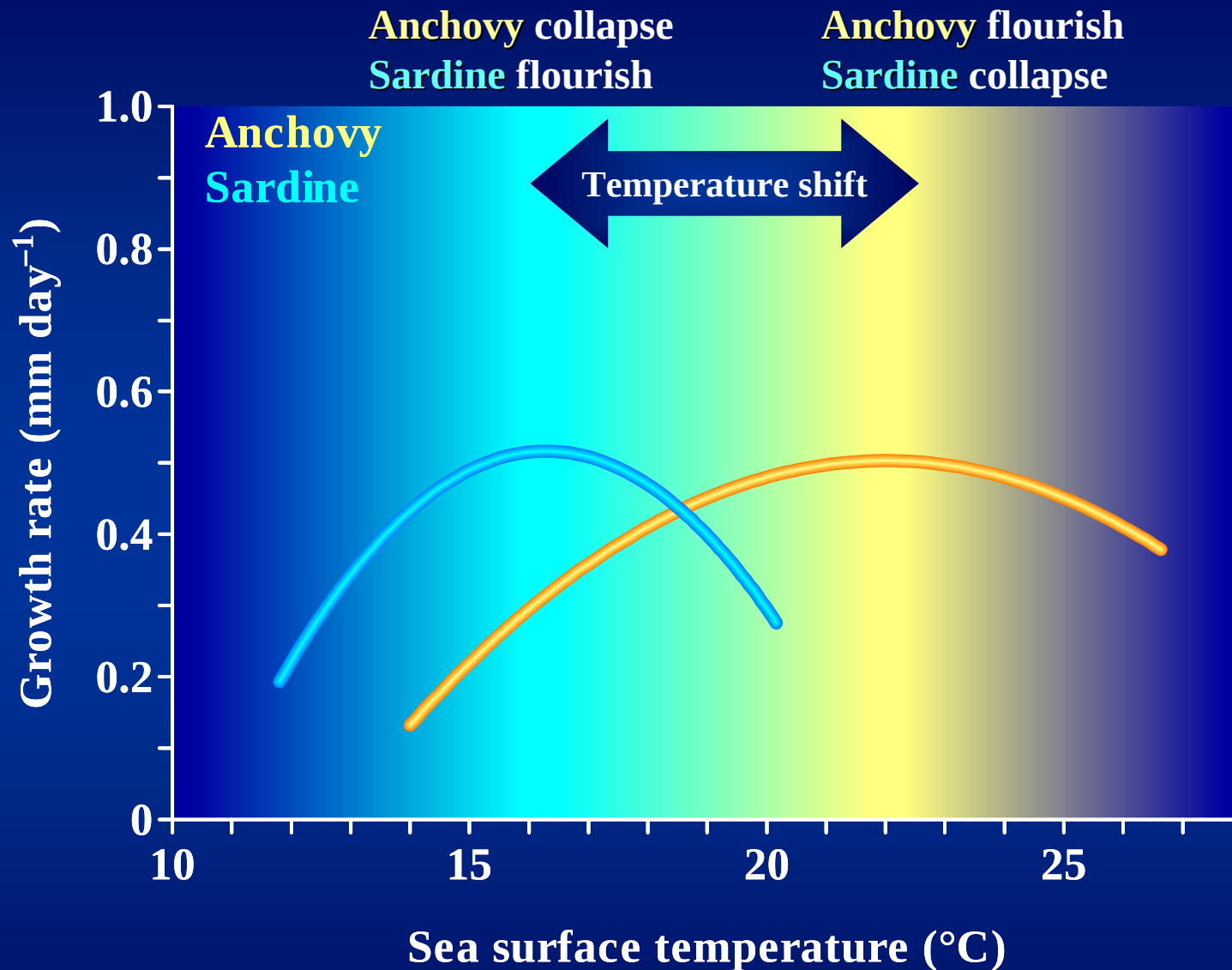
Sampling areas and stations for Japanese **anchovy** and **sardine** larvae in the western North Pacific.

# Anchovy vs. Sardine



Relationship between recent 3-day mean growth rates and sea surface temperature for larval **anchovy** and **sardine**.

# Mechanism



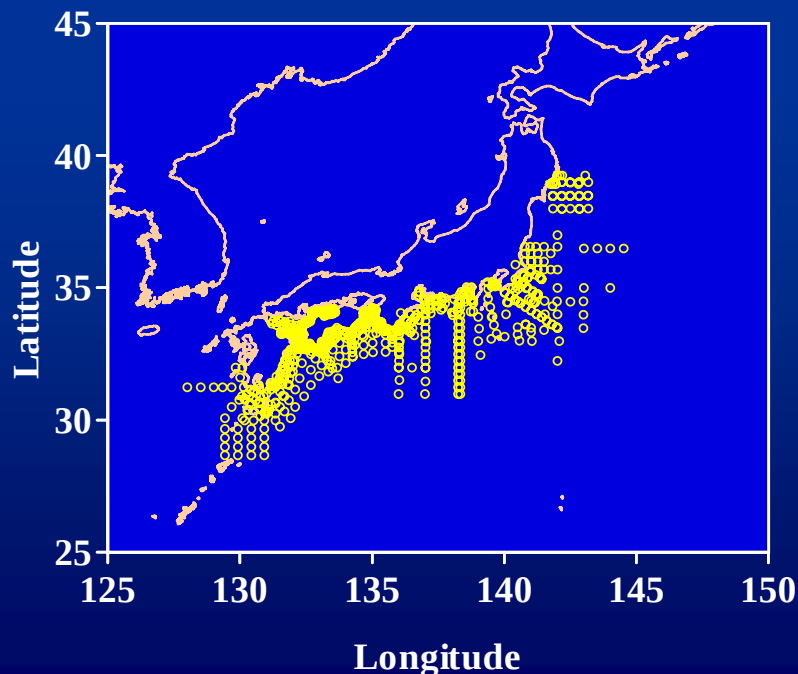
Conceptual framework of a potential mechanism for fish regime shifts based on the differential optimal growth temperatures.

# Ambient temperature

**What temperatures are they likely to experience?**  
**Is the difference in optima really significant?**

## *Spawning ground*

- **Assumption:** Sea surface temperatures (SSTs) in the spawning regulate larval growth rates after hatching.
- **Egg-density-weighted mean SSTs** for anchovy and sardine



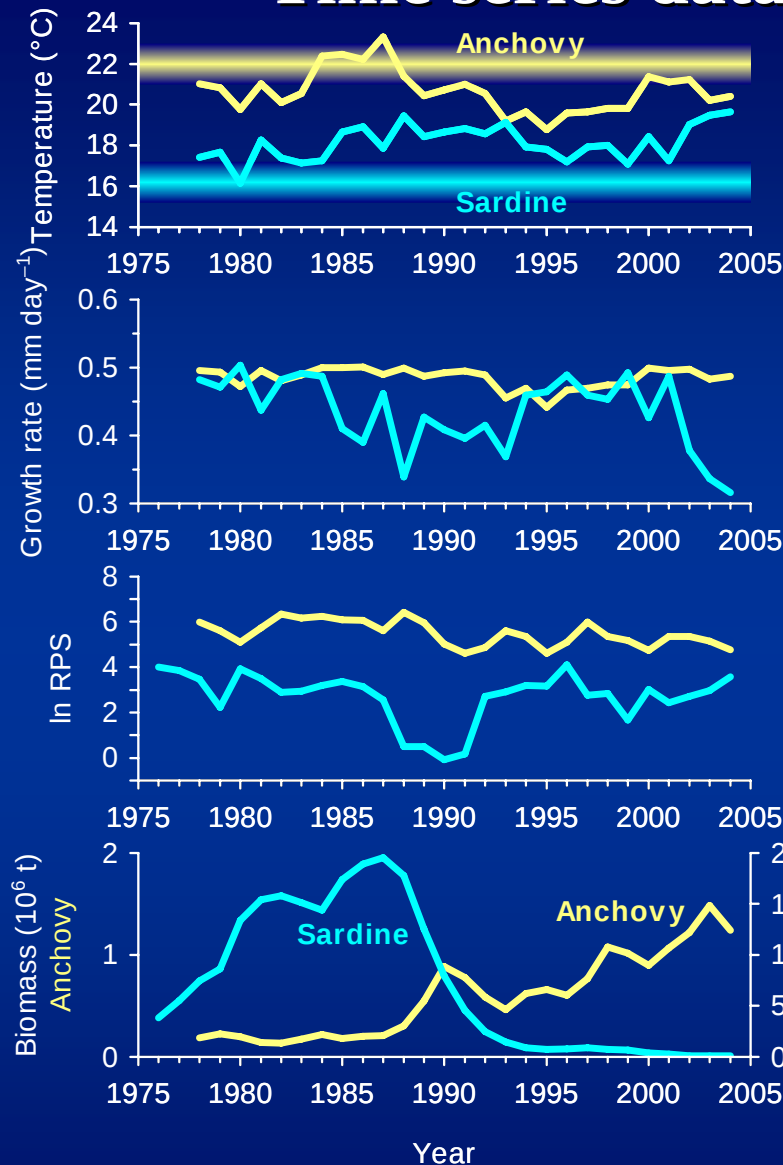
### Egg and larval surveys

**Anchovy** eggs

**Sardine** eggs

- Off the Pacific coast
- From 1978 to 2004
- All seasons
- All stations
- Annual mean

# Time series data



Egg-density-weighted  
mean SST

Growth rate  
converted from SST

Recruitment per  
spawning biomass (RPS)  
as a larval survival index

Biomass

Retrospective test of the hypothesis with the times series data of **egg-density-weighted mean SST**, growth rate, RPS and biomass for **anchovy** and **sardine**.

# Hypothesis proposed

## “Optimal growth temperature” hypothesis

- Potential biological mechanism for **anchovy** and **sardine** alternations.
- The theory is independent of and synergistic with the existing hypotheses.
- Optimal temperature would provide a necessary condition rather than a sufficient condition.

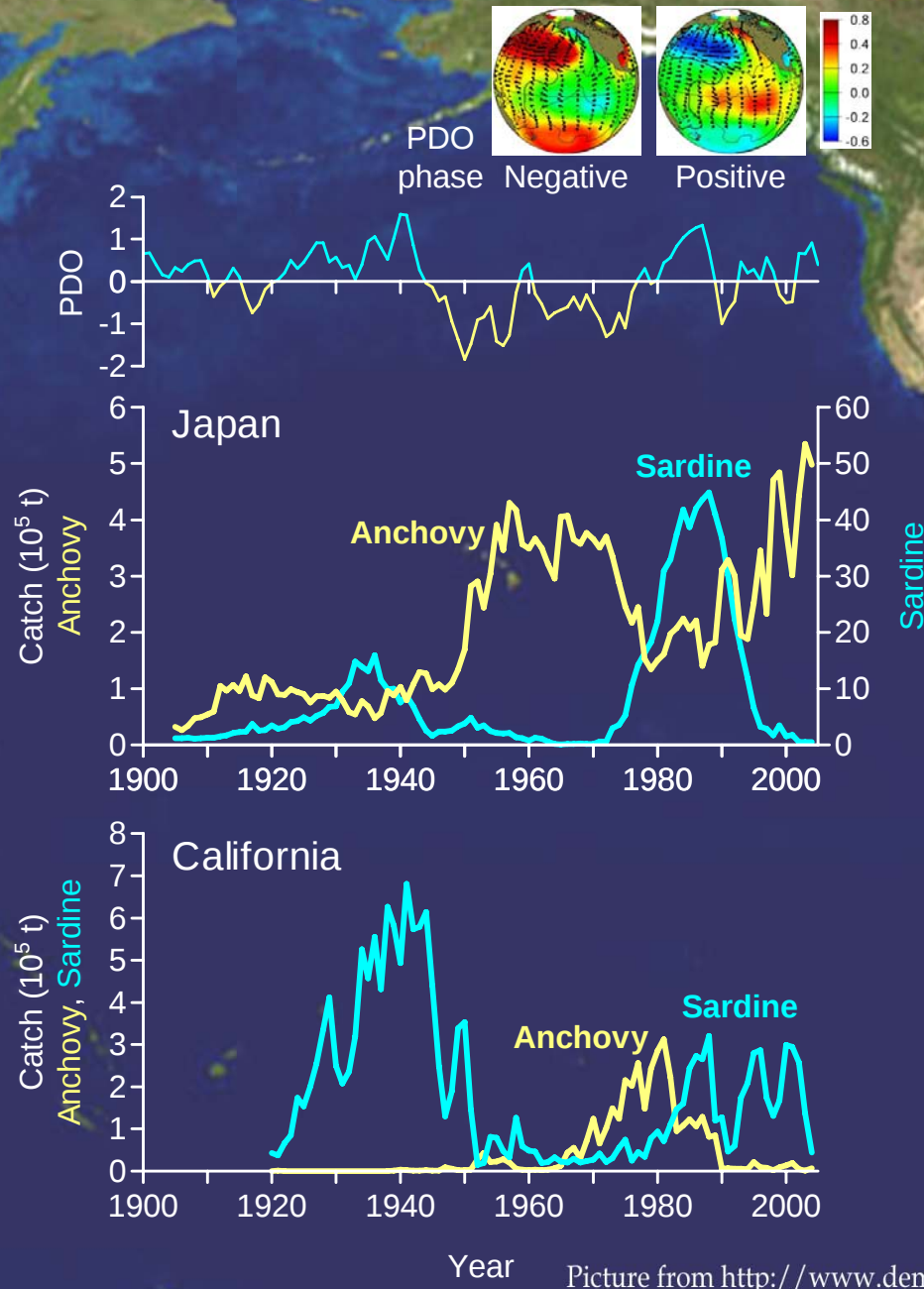
- I. “Growth–survival” paradigm
- II. Direct temperature impacts
- III. Differential optimal growth temperatures

# Contrasting spawning temperature optima of anchovy and sardine between opposite sides of the Pacific

*Target question*

**Why were anchovy and sardine regime shifts  
synchronous across the Pacific?  
(despite the reversed temperature regimes)**

# East–West paradox



# Objectives

## Why were anchovy and sardine regimes synchronous across the Pacific?

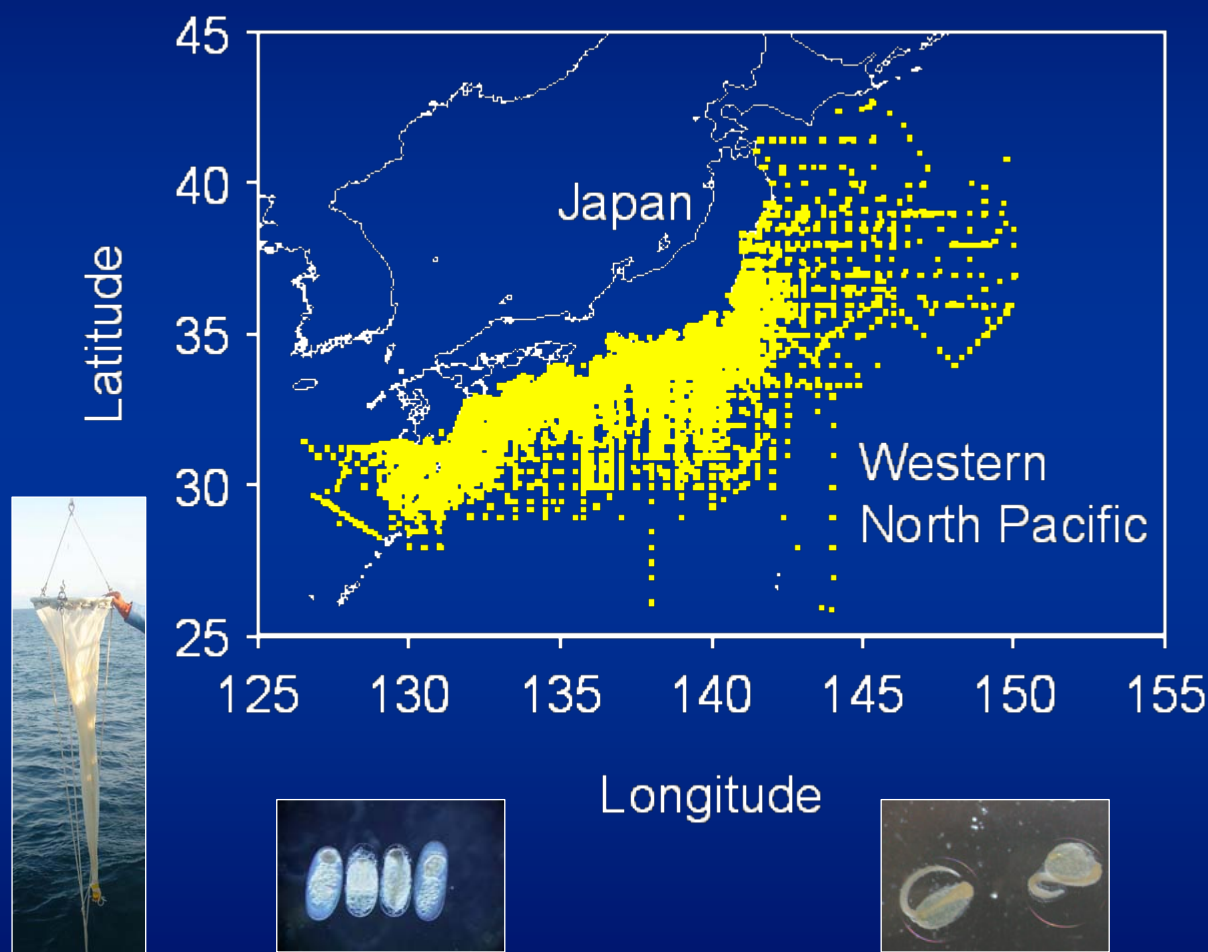
A preliminary step toward basin-scale synthesis

- Spawning temperature optima were compared between Japanese **anchovy** and **sardine** in the western North Pacific.
- The results were compared with those in the California Current by Lluich-Belda *et al.* (1991).

Western Boundary Current system vs. Upwelling system

# Data

## Long-term data set of egg and larval surveys



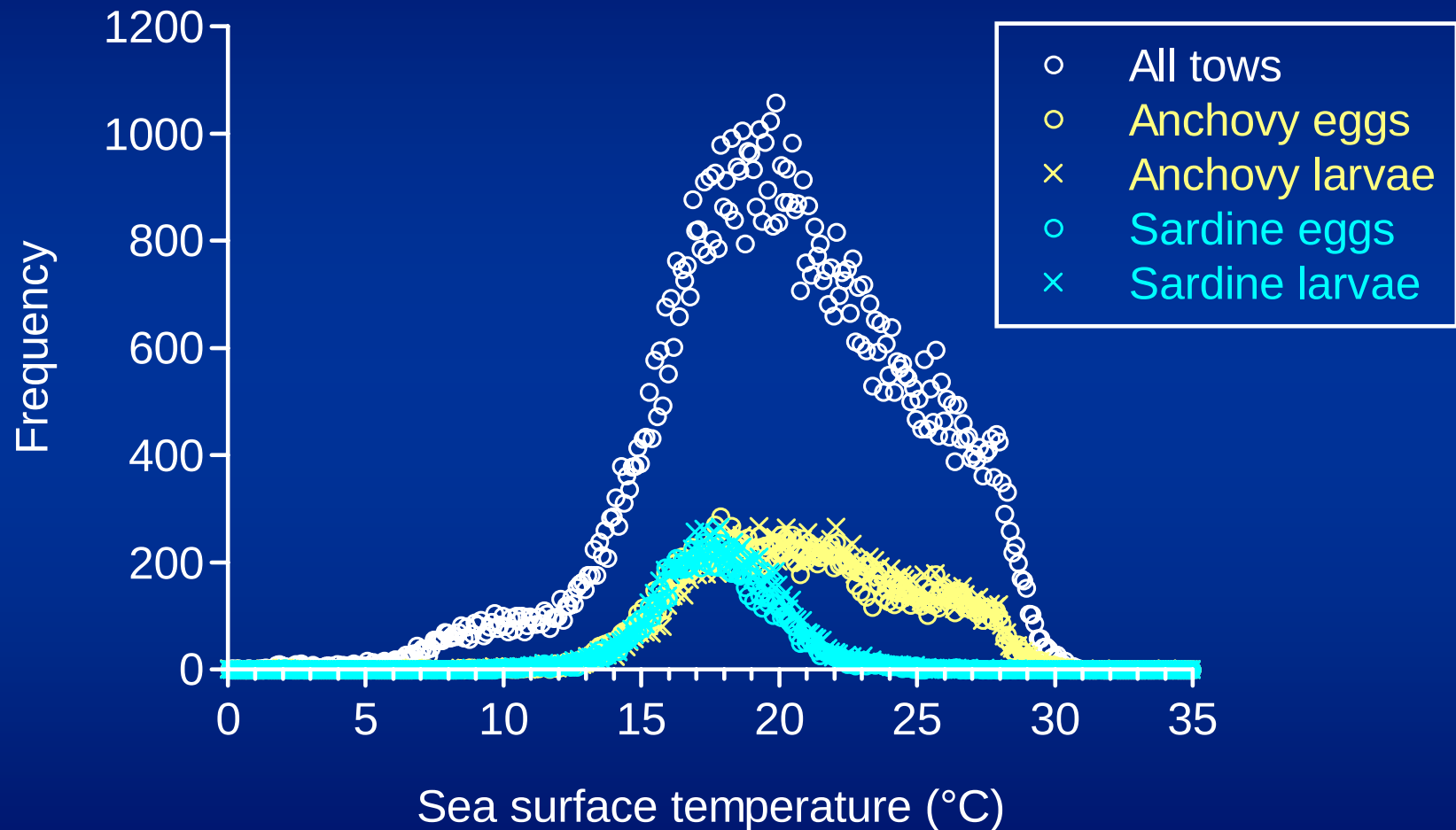
- Off the Pacific coast of Japan
- Past 27 years (1978–2004)
- 102,905 tows
- Over 3,000 tows per year

**Sampling stations of the historical egg and larval surveys off the Pacific coast of Japan from 1978 to 2004.**

# Frequency

The methods of Lluch-Belda *et al.* (1991)

- (1) All samples were classified into SST intervals of  $0.1^{\circ}\text{C}$ .
- (2) They were sorted for “positive” samples for **anchovy** and **sardine** eggs and larvae.

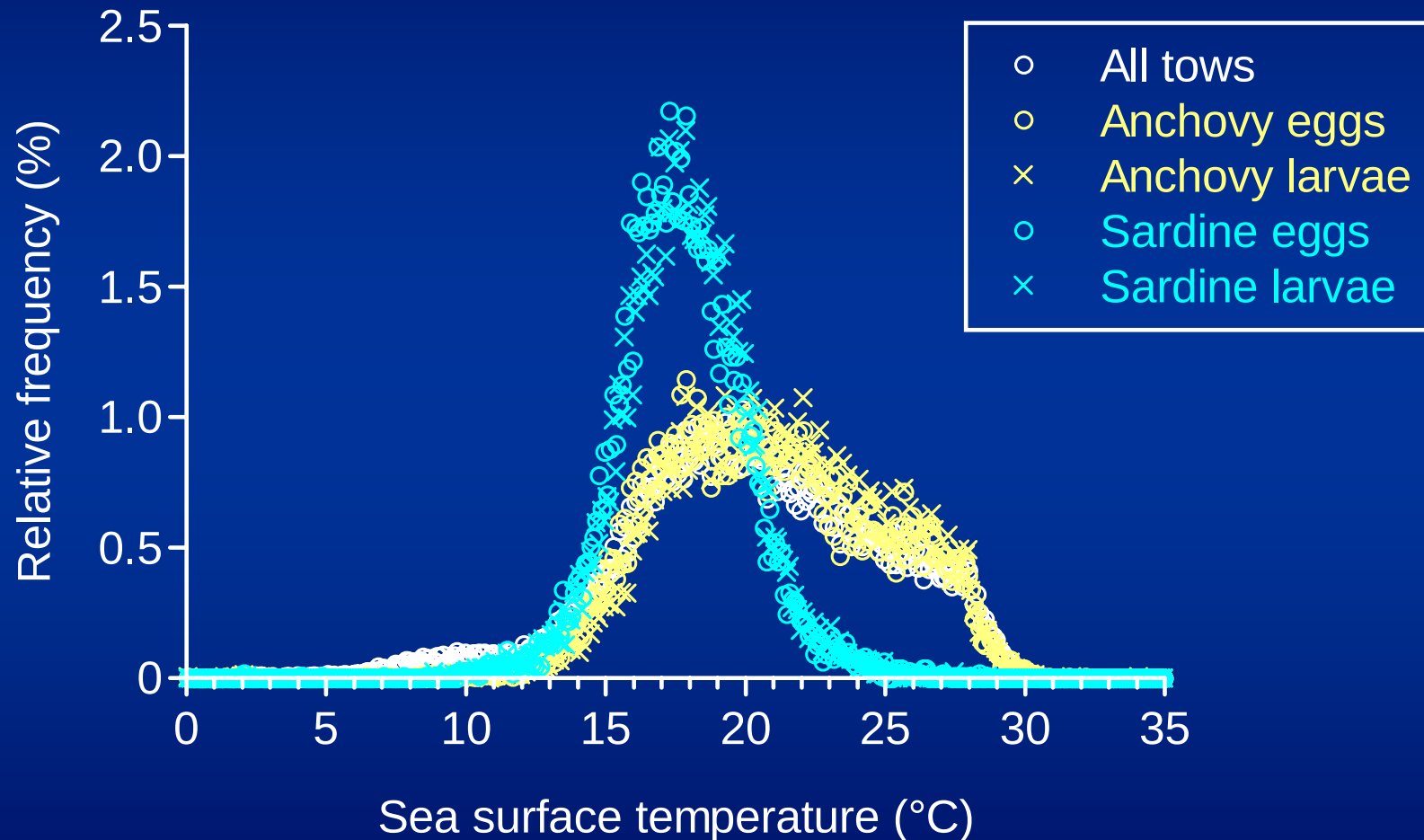


**Frequency distributions of plankton samples (stations) classified into the sea surface temperature intervals of  $0.1^{\circ}\text{C}$ .**

# Relative frequency

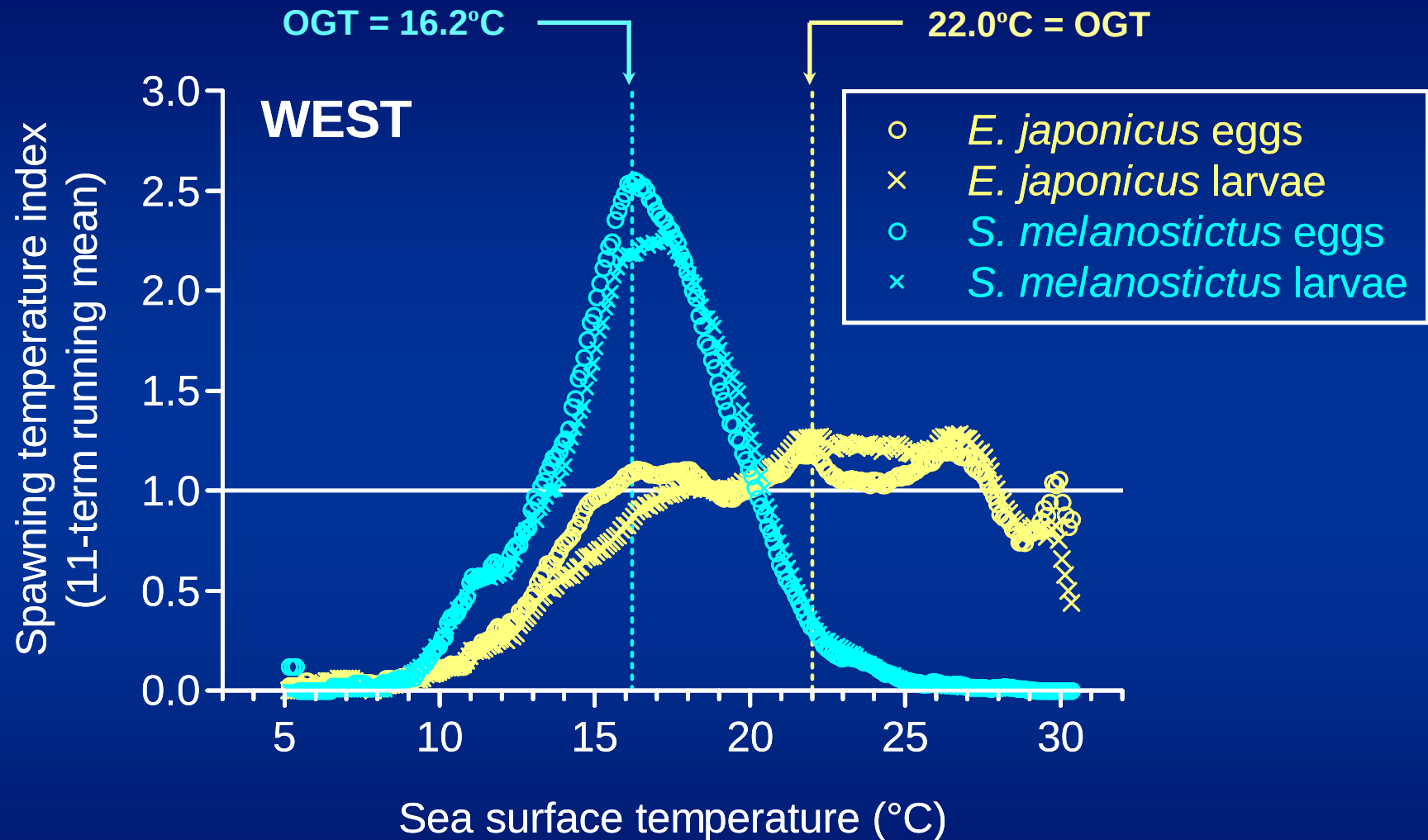
The methods of Lluch-Belda *et al.* (1991)

- (3) **Spawning temperature index** (quotient) was calculated as **ratio of relative frequencies of 'positive' samples vs. all samples** for each SST interval.
- (4) Assumption: The value of index > 1.0: Preferred or selected



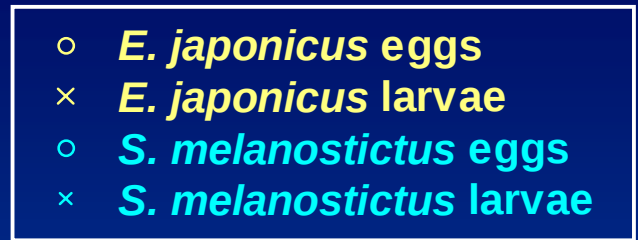
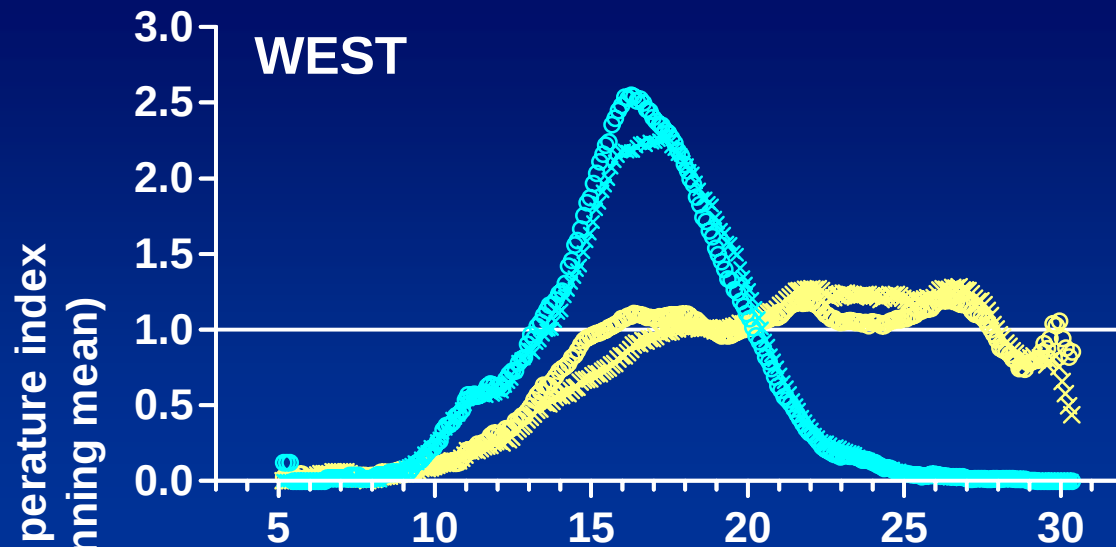
**Relative frequency distributions of plankton samples (stations) classified into the sea surface temperature intervals of 0.1°C.**

## Western North Pacific

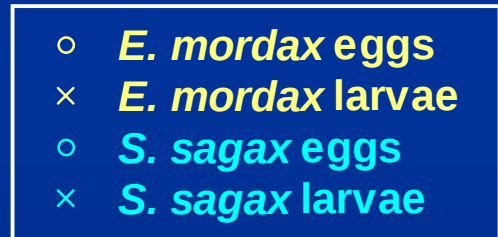
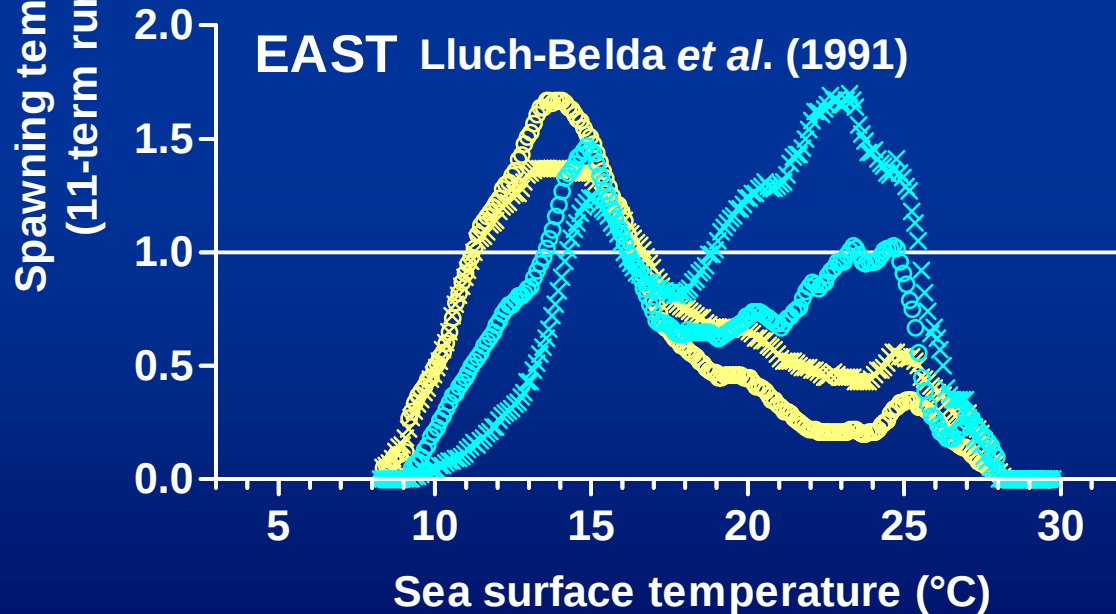


Spawning temperature index for Japanese **anchovy** (*E. japonicus*) and **sardine** (*S. melanostictus*) in the western North Pacific.

# East–West



**Western North Pacific**  
(off the Pacific coast of Japan)

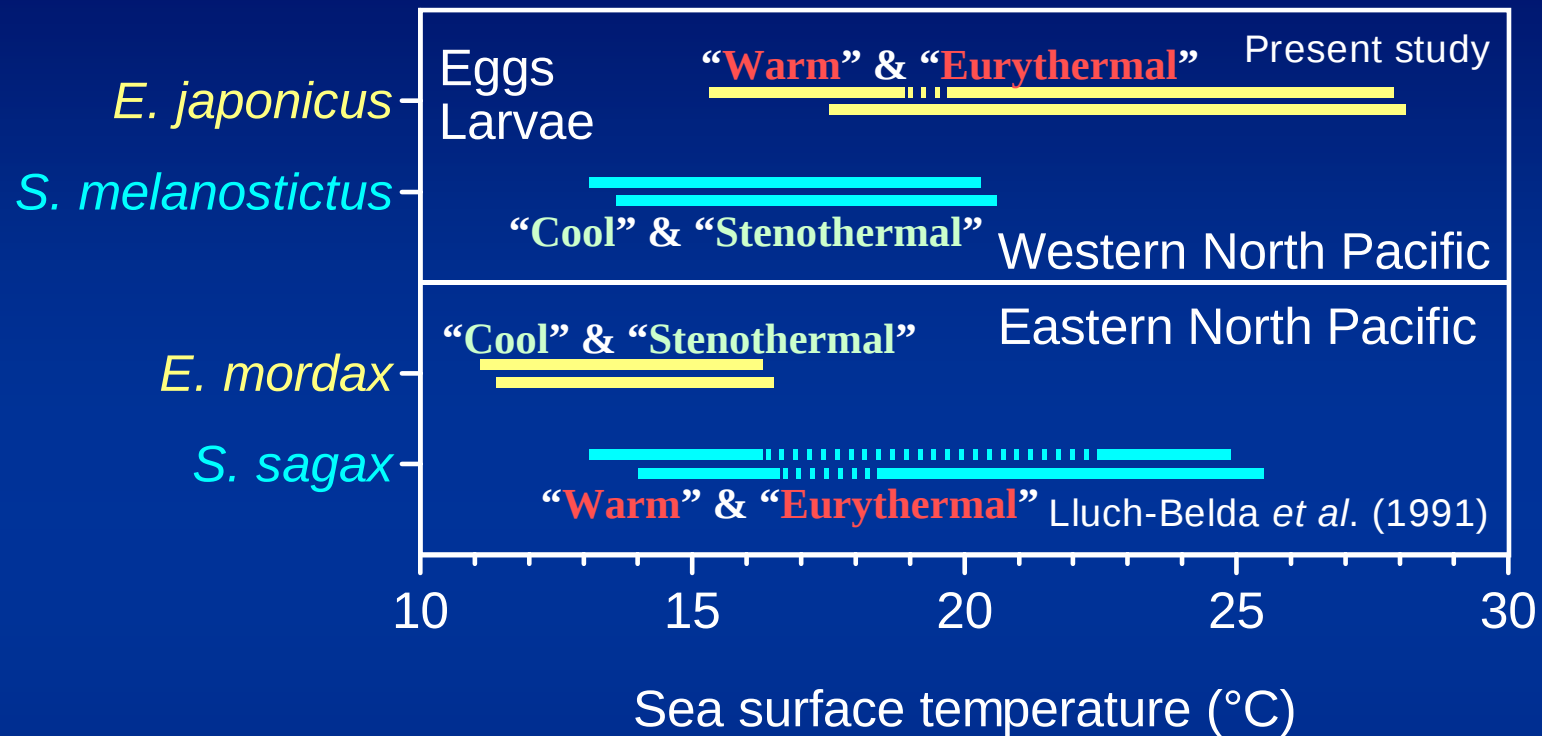


**Eastern North Pacific**  
(in the California Current)

Temperature optima for **anchovy** and **sardine** spawning: Contrast between the western and eastern sides of the Pacific.

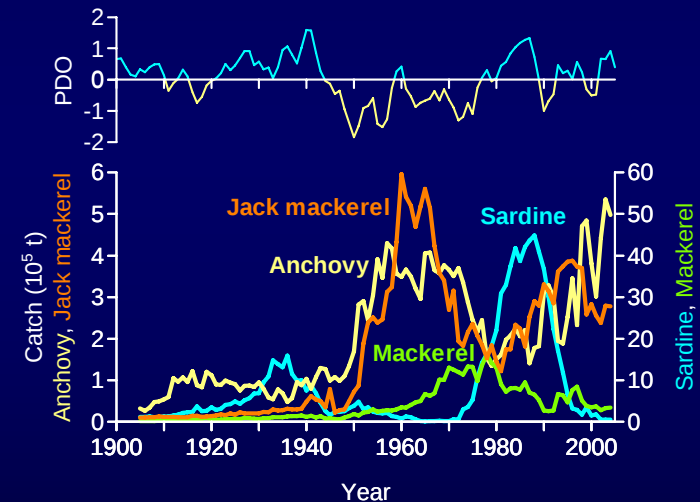
## East–West

Spawning temperature index &gt; 1.0



**Reversed** species-specific temperature optima under the **reversed** temperature regimes would provide a possible theoretical solution to the **synchronous** alternations across the Pacific.

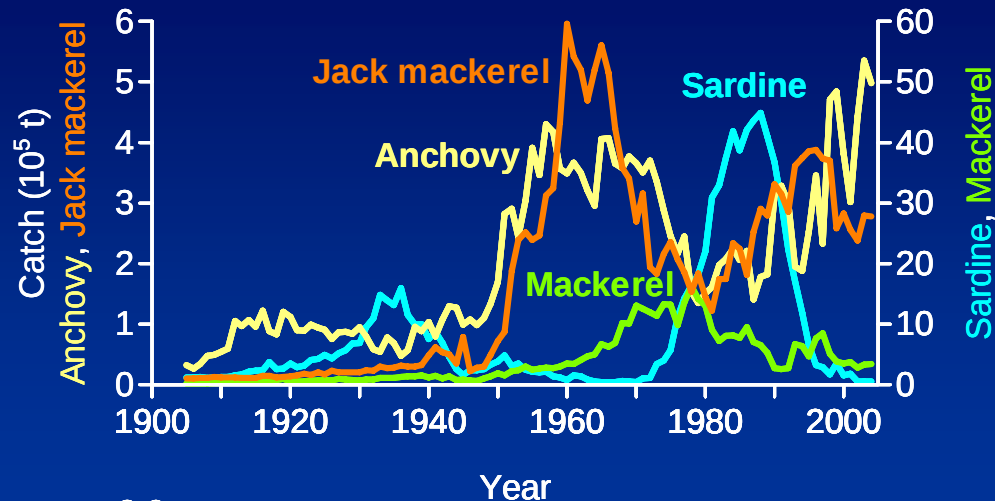
# Multi-species regime shifts reflected in spawning temperature optima of small pelagic fish in the western North Pacific



*Extended question*

**Why do some species show out-of-phase patterns, while others show synchronous patterns?**

# Multi-species comparison



**Anchovy**



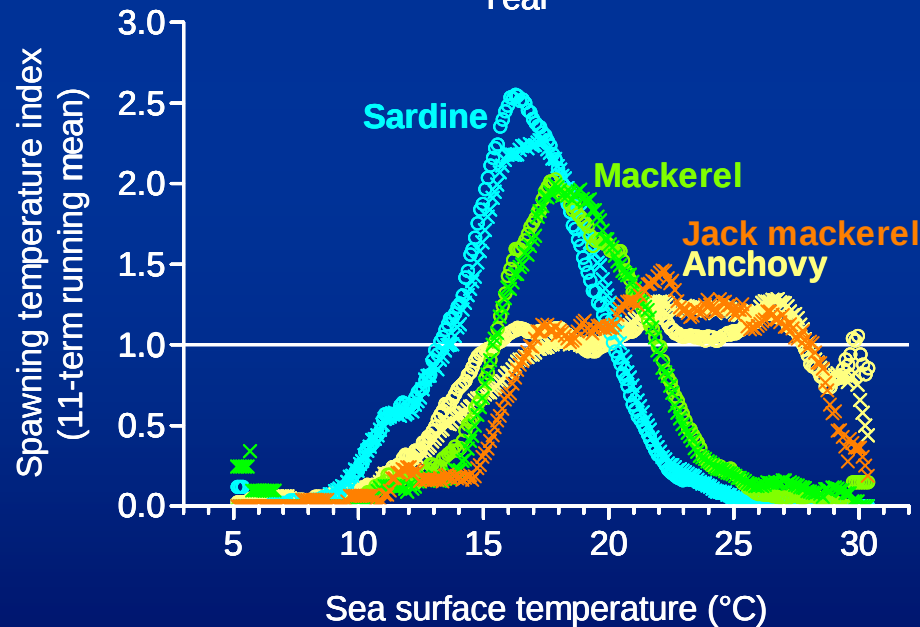
**Sardine**



**Mackerel**



**Jack mackerel**



**Similarities and differences in spawning temperature patterns reflect those in the long-term population dynamics patterns.**

# Implications

Despite the complex nature of marine ecosystems, ...

## *Temperature-based hypotheses*

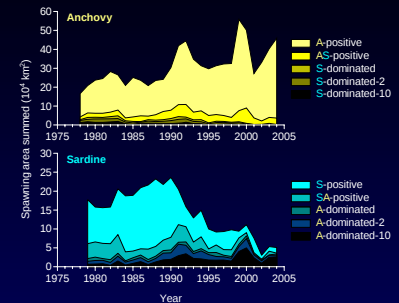
- The dominant mechanism of the large-scale events may be simple and direct.
- Species-specific temperature optima ideas could provide at least a theoretical solution to what has been hard to explain by the other factors.
- Direct temperature impacts on fish population dynamics might be greater at the large scales than expected before.

## *Synergistic mechanisms*

- No single factor is enough to explain the whole picture.
- There should be synergistic effects of the other factors.
- In fact, sardine have not yet indicated any sign of recovery, despite the relatively favorable conditions in recent years.

## Topic

# Spatial and temporal overlaps of anchovy and sardine spawning in the western North Pacific



*Question arising*

**How much do anchovy and sardine eggs co-occur in the western North Pacific?**

# Objectives

## *Sardine's collapse*

- Why have not sardine populations recovered?
- A controversial issue: Fishing mortality?
- Another possible aspect: **Interspecies interactions**

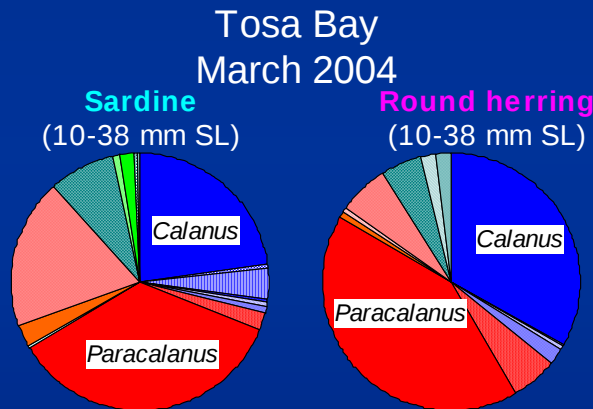
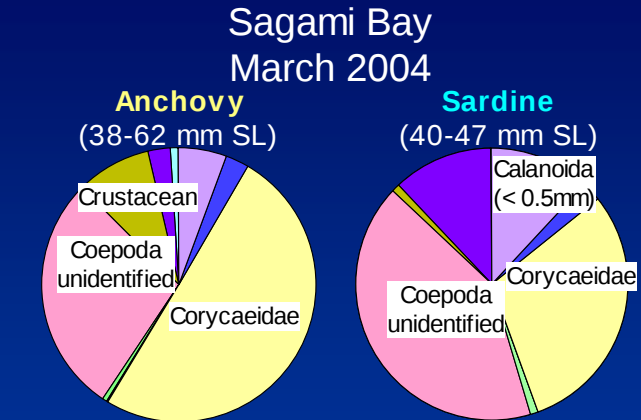
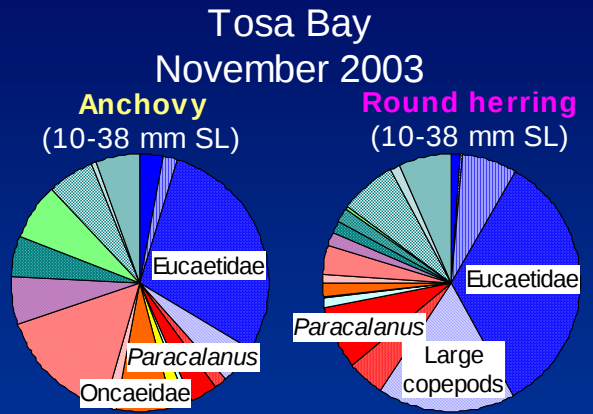
## *Spawning overlaps*

- A possible source of direct competitions during early stages.
- Spawning peaks differ between **anchovy** and **sardine**.
- But their spawning seasons can be overlapped.
- **Sardine** show *ca.* tenfold greater fluctuations than **anchovy**.
- Spawning grounds and periods indicate expansion/contraction.

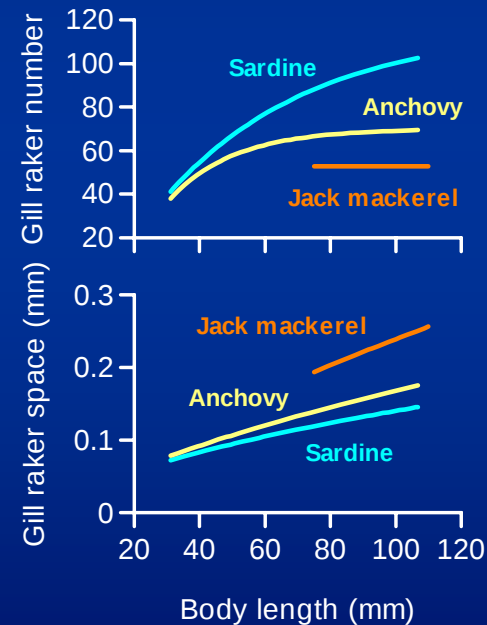
## *As a first step, ...*

- Spatial and temporal overlaps of **anchovy** and **sardine** spawning were described qualitatively and quantitatively.

# Feeding habit



## Stomach content compositions (% N)



## Gill raker morphologies

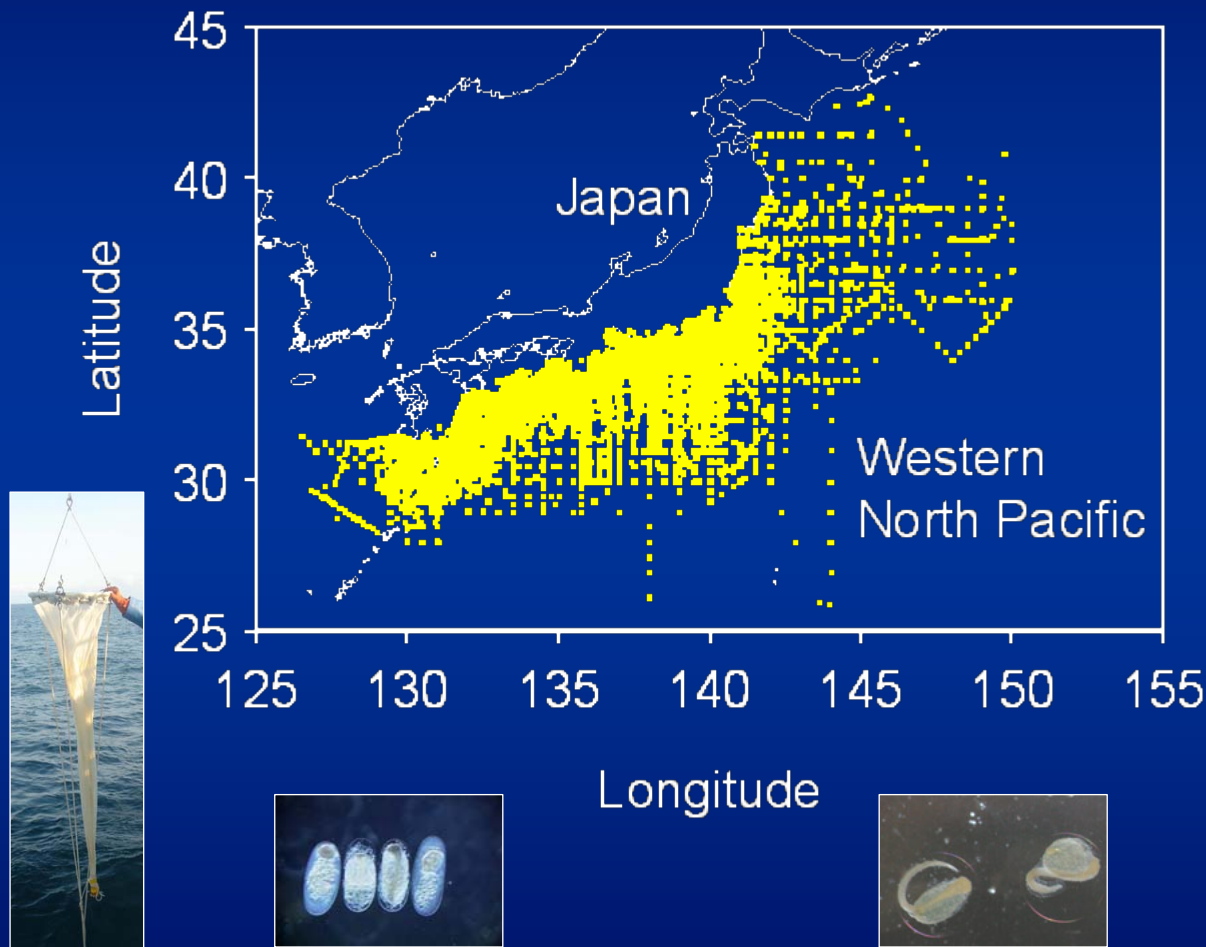
Poster S7-3017:  
Kubota *et al.*  
for details



Tanaka *et al.* (2006) in JFB  
Tanaka (2006) PhD thesis

# Data

## Long-term data set of egg and larval surveys



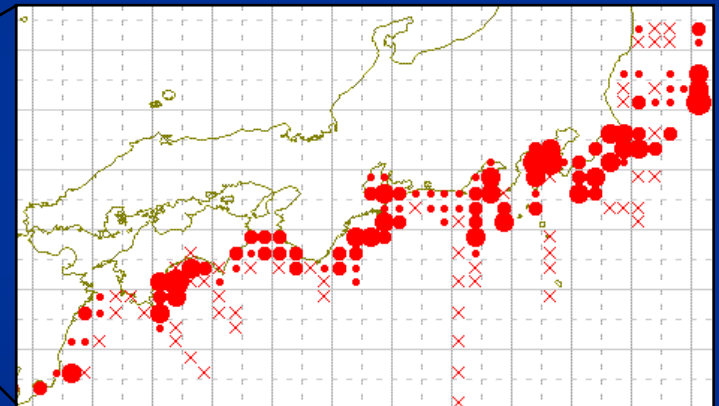
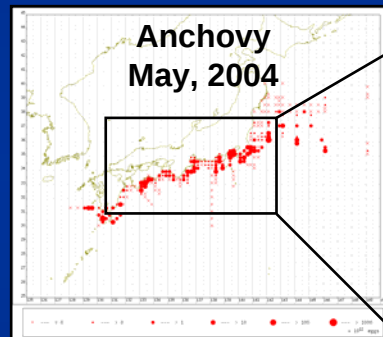
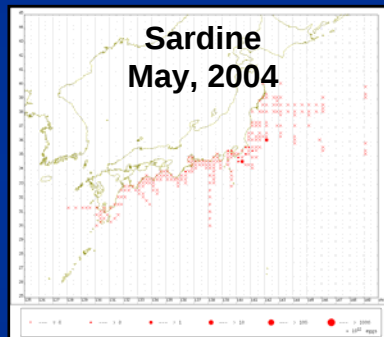
- Off the Pacific coast of Japan
- Past 27 years (1978–2004)
- 102,905 tows
- Over 3,000 tows per year

**Sampling stations of the historical egg and larval surveys off the Pacific coast of Japan from 1978 to 2004.**

# Methods

## *Egg abundance and spawning area*

- Monthly egg abundance calculated in terms of 15'x15' squares.
- Spawning area calculated by summing areas of squares positive for **anchovy** and/or **sardine** eggs

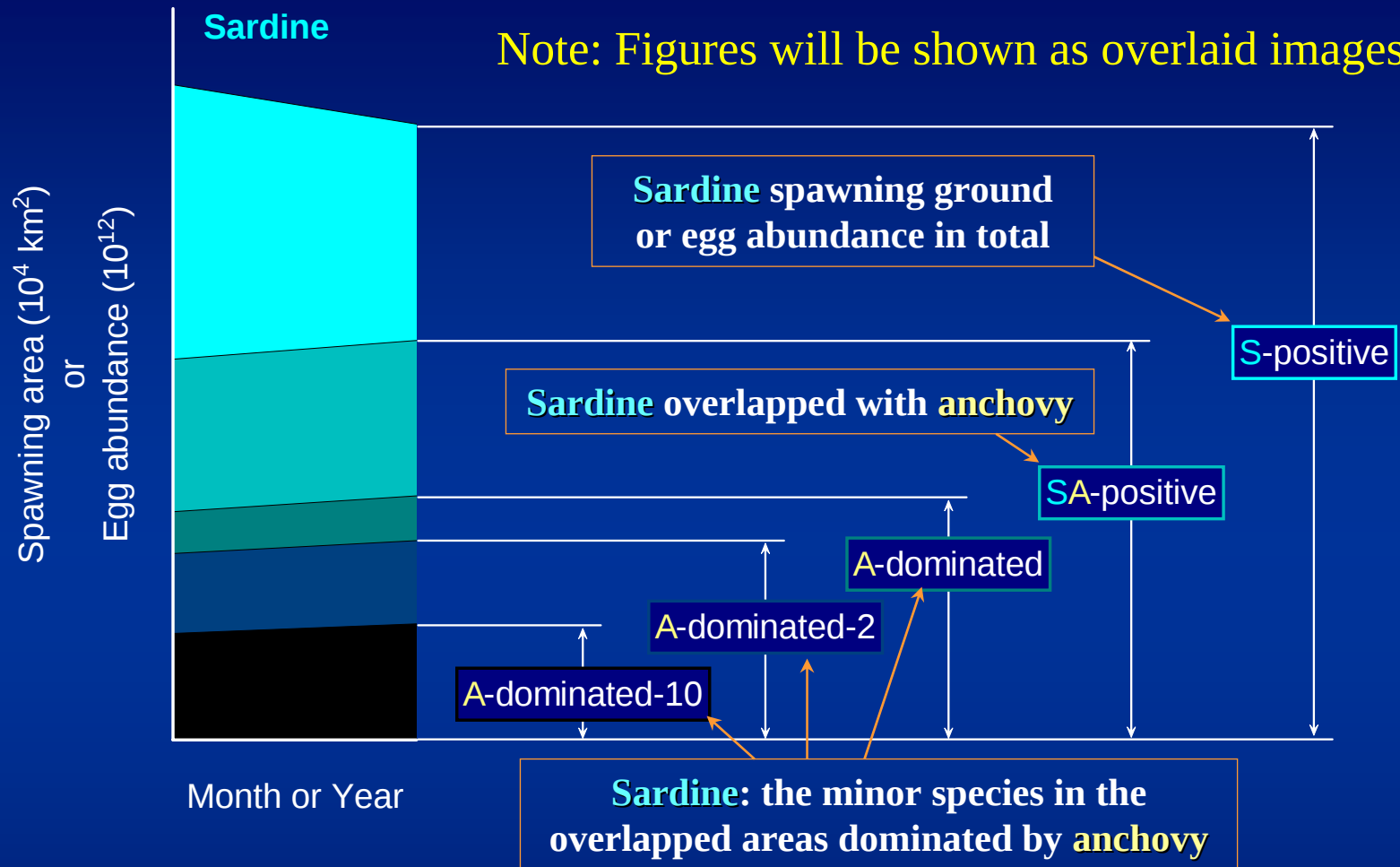


## *Occurrence and overlap status*

- “S-positive”: **sardine** eggs occurred
- “SA-positive”: **sardine** and **anchovy** eggs co-occurred
- “A-dominated”: **sardine** < **anchovy** in egg abundance
- “A-dominated-2”: **sardine** < 1/2 **anchovy** in egg abundance
- “A-dominated-10”: **sardine** < 1/10 **anchovy** in egg abundance

# Methods

Note: Figures will be shown as overlaid images

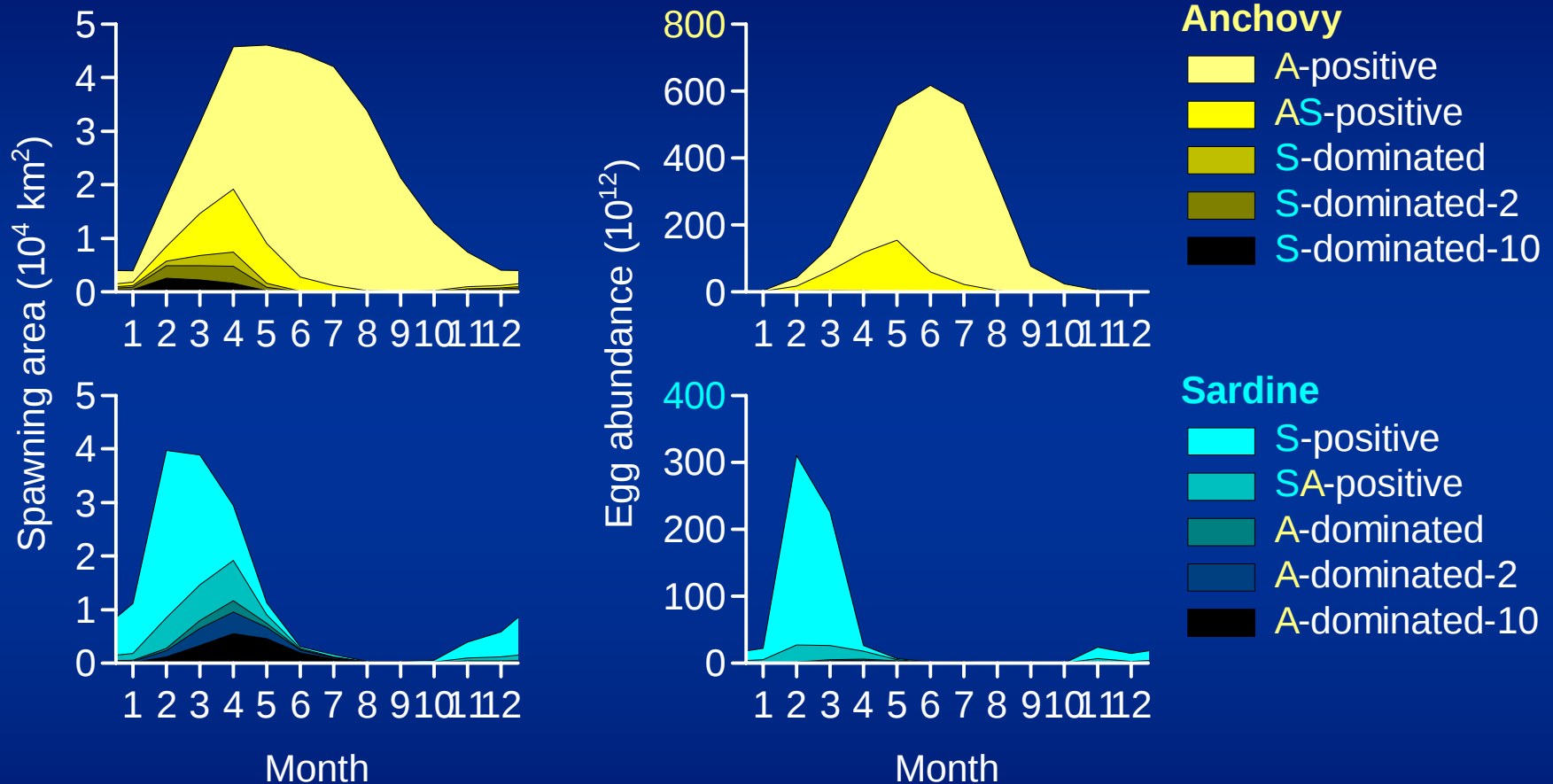


- Spawning area was calculated by summing areas of each category.
- Egg abundance was calculated by summing egg abundances in the areas of each category.

Q: e.g. What is the ratio of **sardine** egg abundance in the “**A-dominated**” areas to total **sardine** egg abundance?

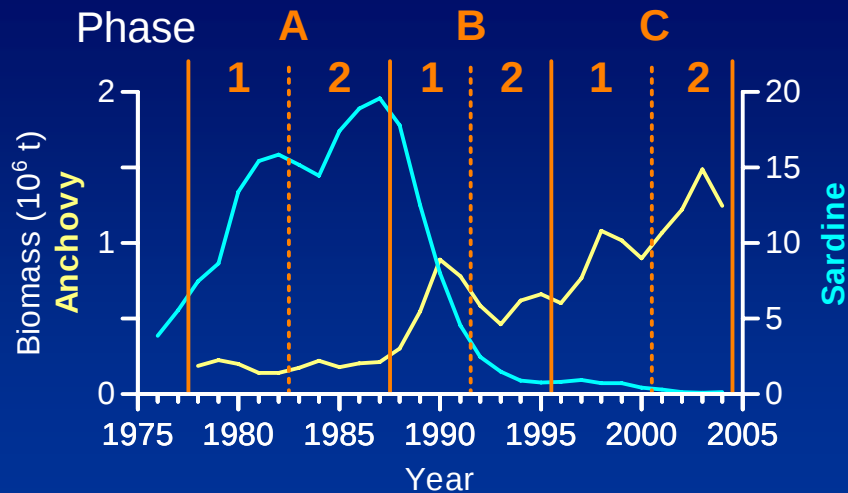
# Seasonal changes

Averaged for 27 years



Seasonal changes in spawning areas and egg abundances of **anchovy** and **sardine** with a focus on their spawning overlaps.

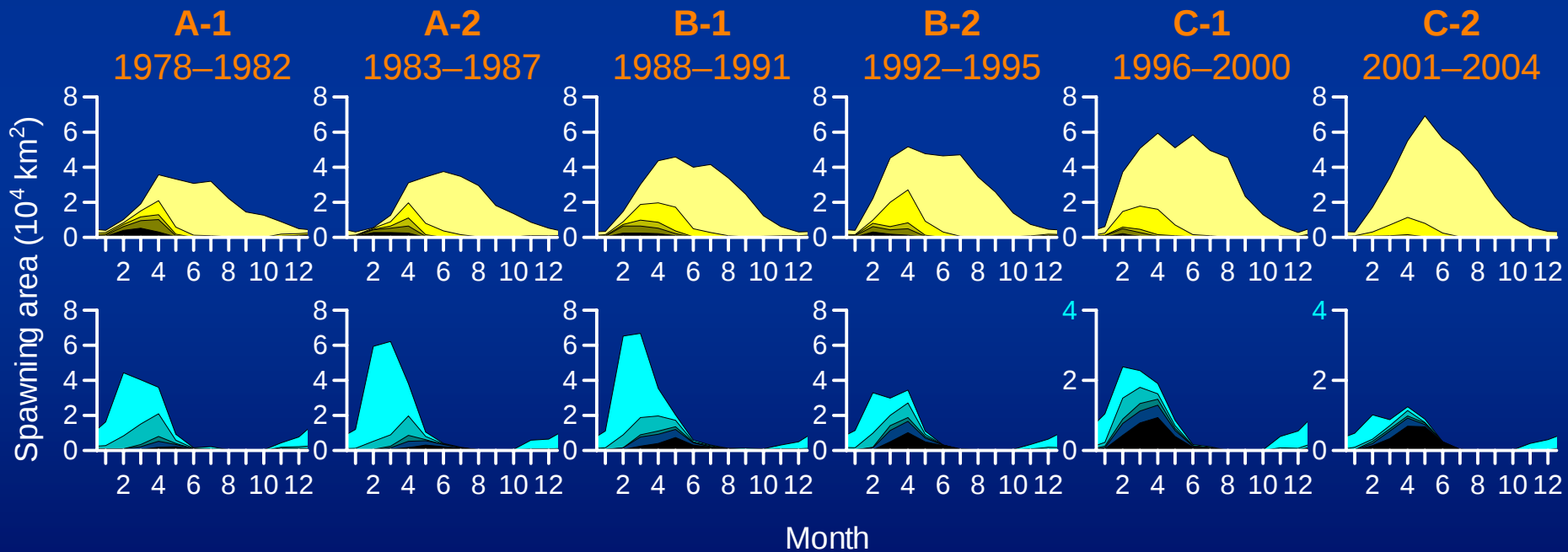
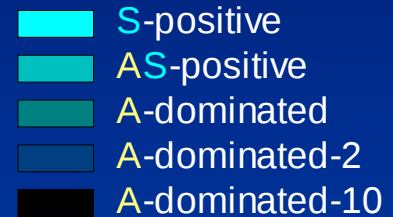
# Spawning area



## Anchovy

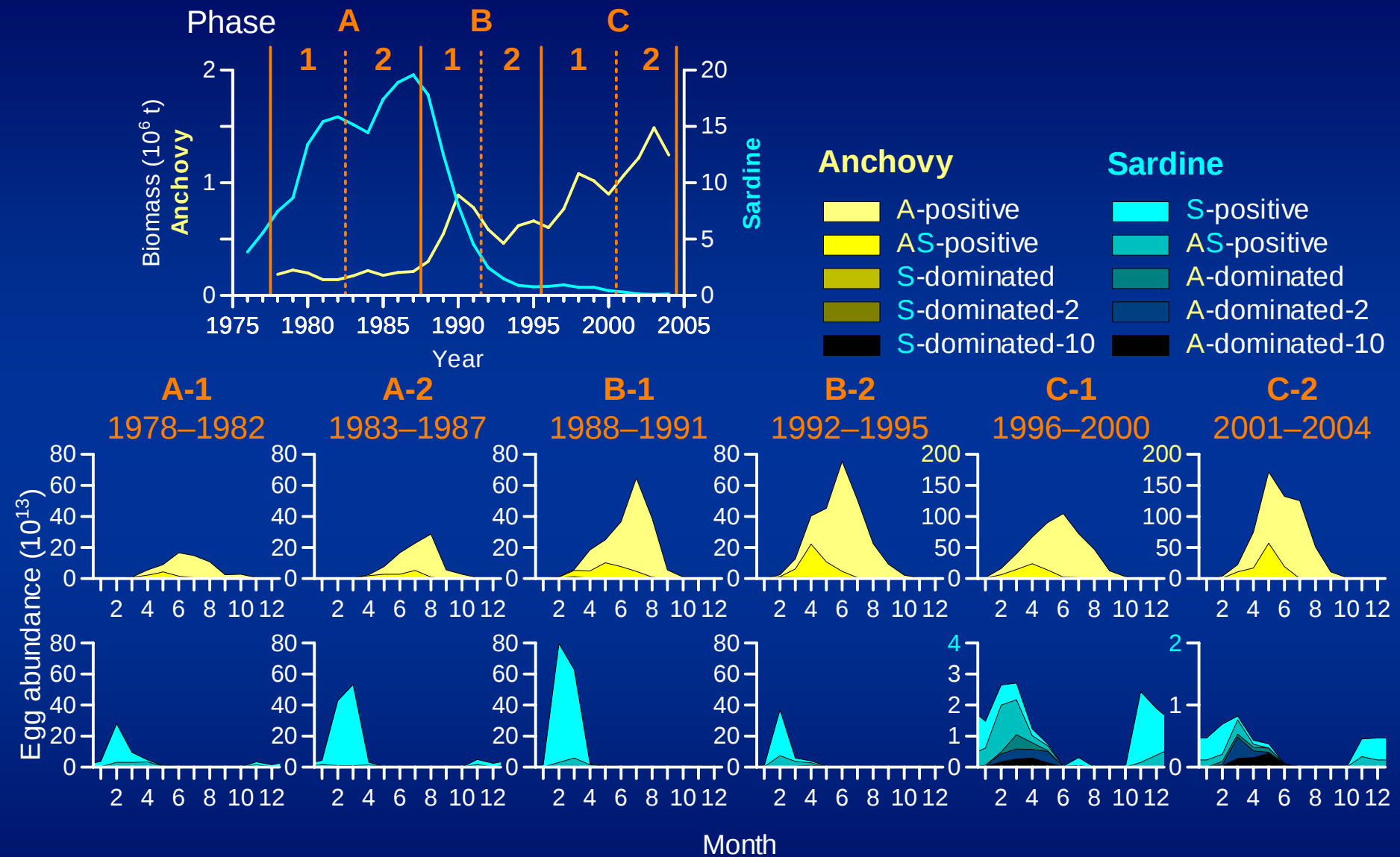


## Sardine



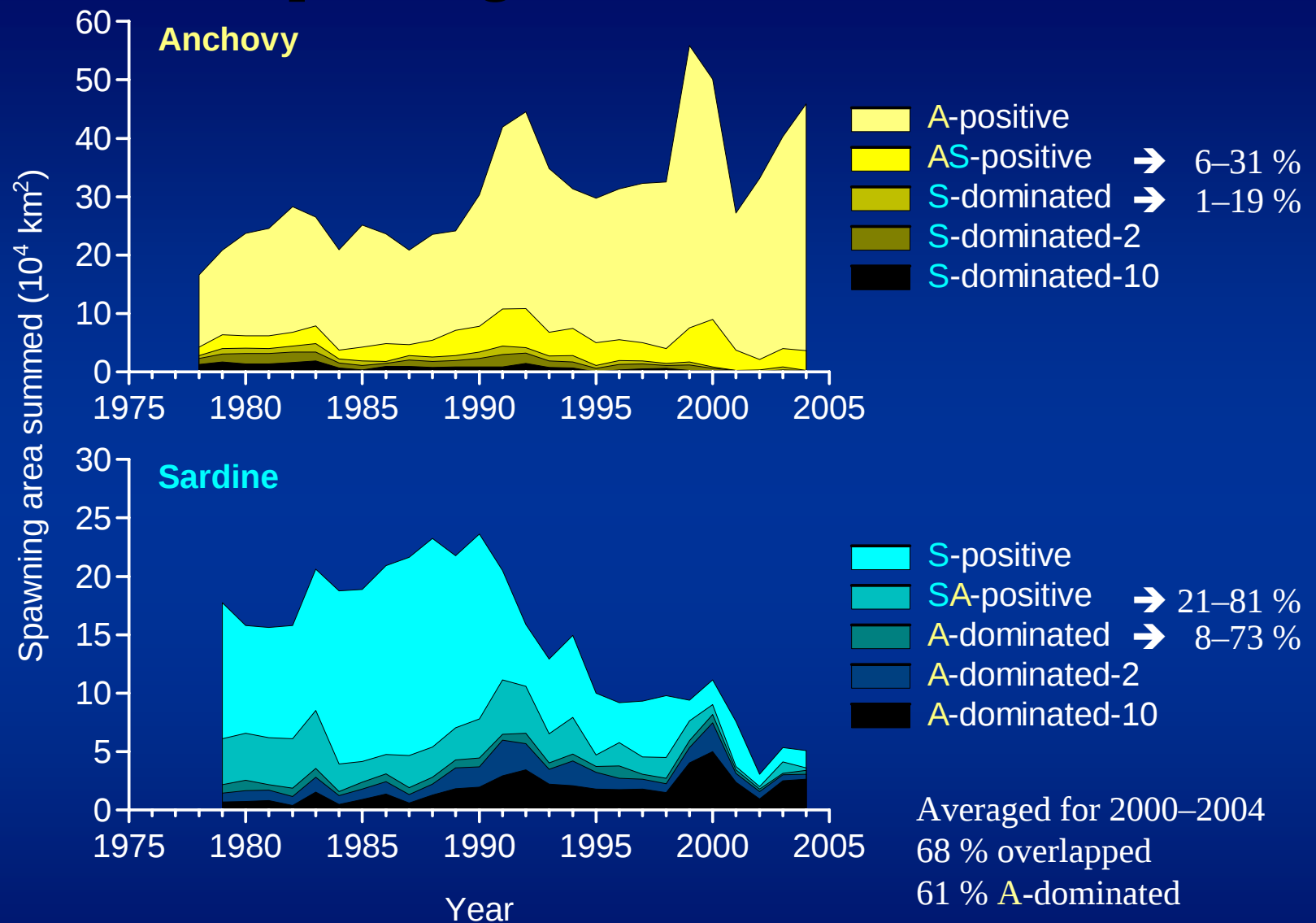
Seasonal changes in spawning areas of **anchovy** and **sardine** for different phases with a focus on their spawning overlaps.

# Egg abundance



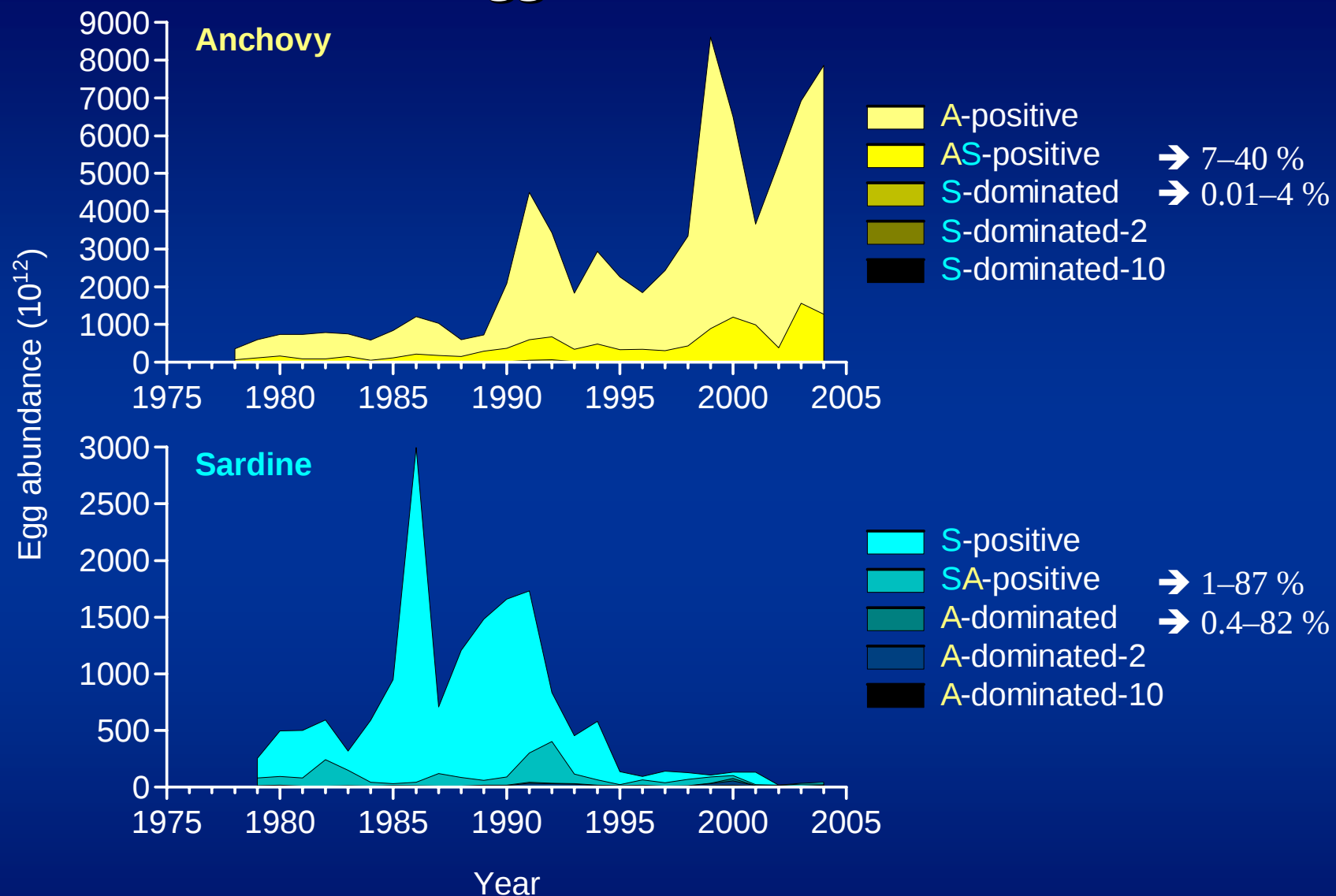
Seasonal changes in egg abundances of **anchovy** and **sardine** for different phases with a focus on their spawning overlaps.

# Spawning area summed



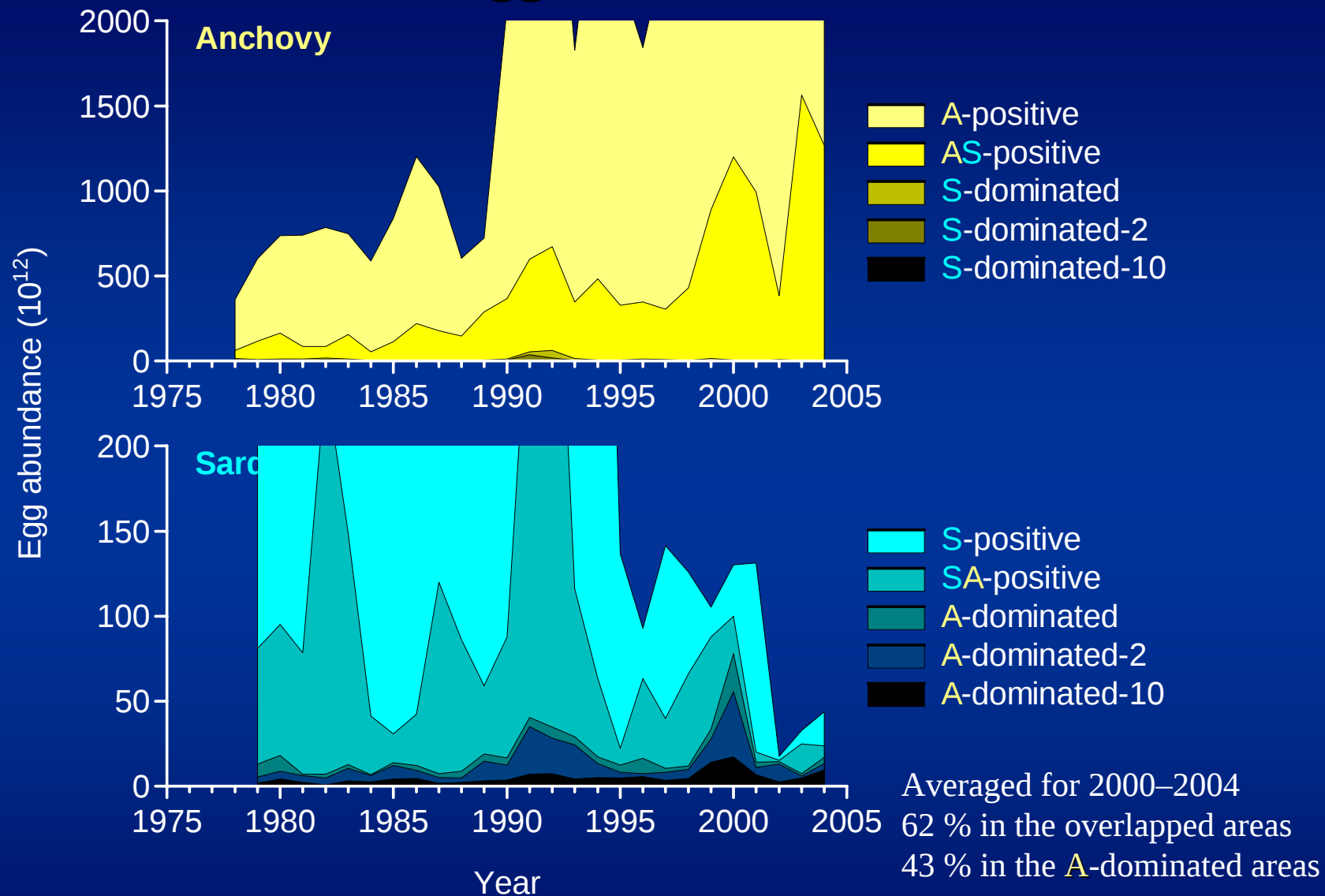
Decadal shifts of spawning areas of **anchovy** (Jan. to Dec.) and **sardine** (Oct. to Sep.) with a focus on their spawning overlaps.

# Annual egg abundance



Decadal shifts of egg abundances of **anchovy** (Jan. to Dec.) and **sardine** (Oct. to Sep.) with a focus on their spawning overlaps.

# Annual egg abundance



Decadal shifts of egg abundances of **anchovy** (Jan. to Dec.) and **sardine** (Oct. to Sep.) with a focus on their spawning overlaps.

# Implications

## *Spawning overlaps*

- Spawning overlaps were described qualitatively and quantitatively.
- Relative contributions of mechanisms would be phase-specific.
- Overlaps might be critical for **sardine** but not for **anchovy**.

## *Factors responsible*

- Spawning periods and temperature optima
- Offshore expansion / inshore contraction

## *Competition?*

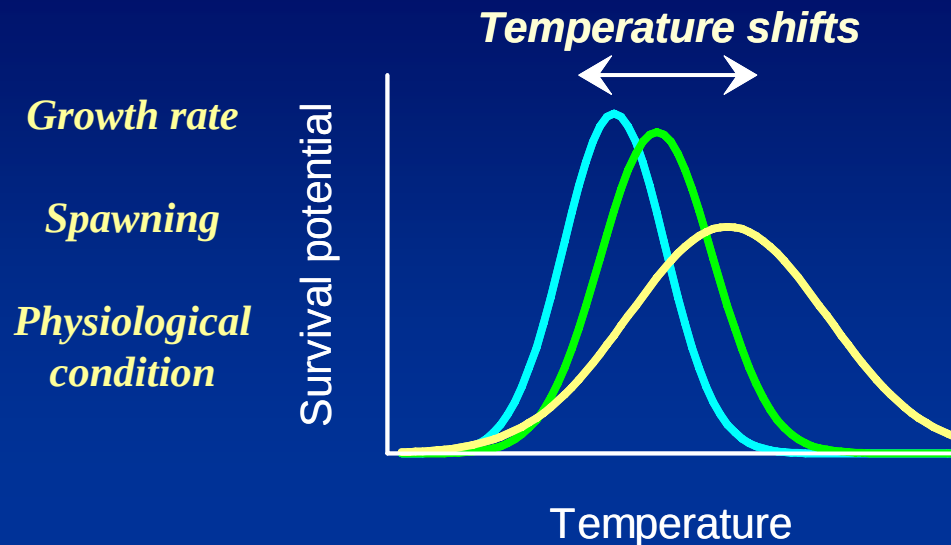
- “School trap” hypothesis (Bakun & Cury 1999): The minor species becomes more minor because of being minor in the mixed-species schools.

## *Temperature optima and synergistic factors*

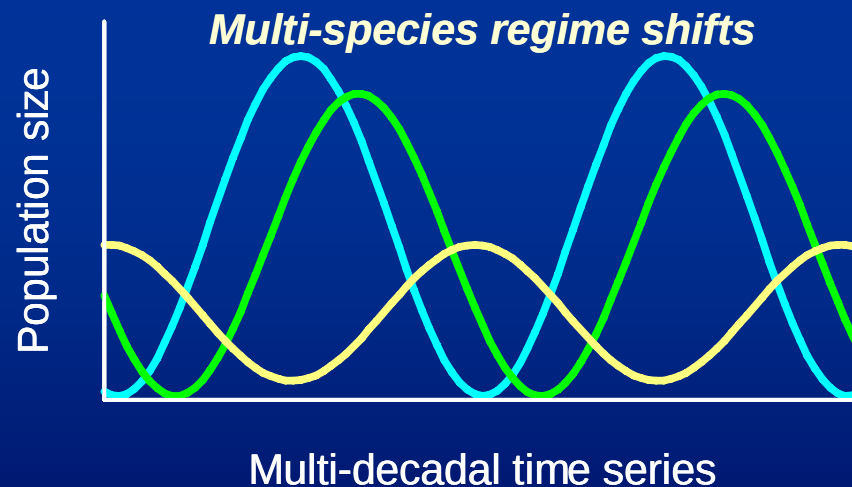
- **Sardine** could be a “recovery-harder” species than **anchovy**.

# Generalization

An extension of the “optimal environmental window” idea (Cury & Roy 1989 in CJFAS)



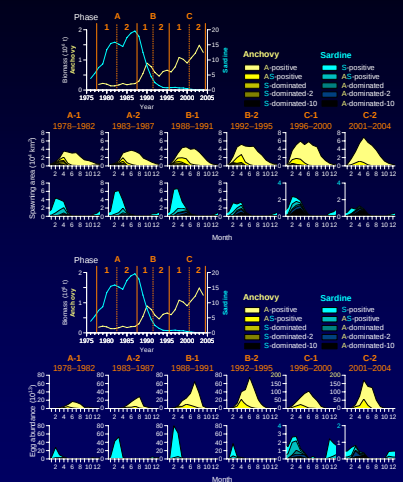
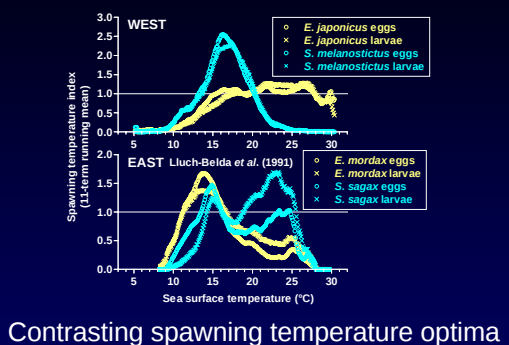
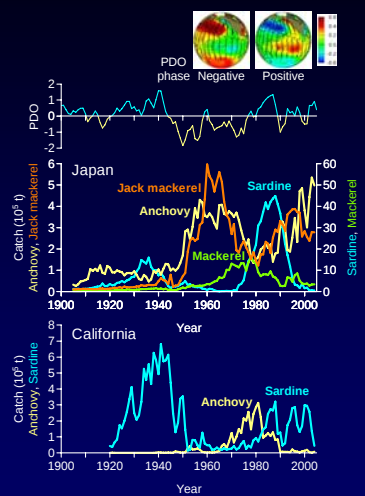
Temperature may be replaced by any other factor.



Relative importance of mechanisms might vary with phases.

Hard to recover with interspecies interactions?

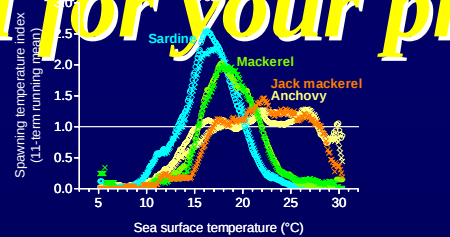
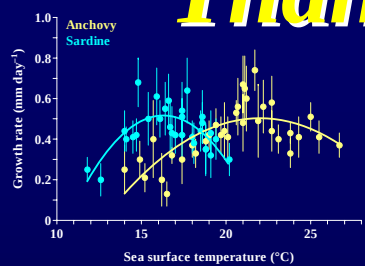
**Conceptual framework of multi-species regime shifts based on differential species-specific temperature optima.**



Multi-species regime shifts

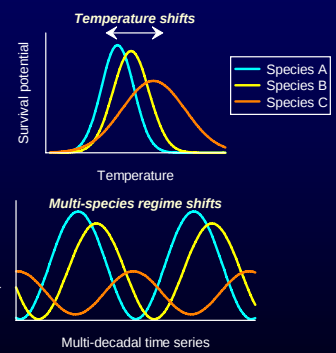
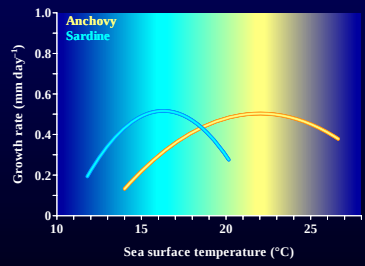
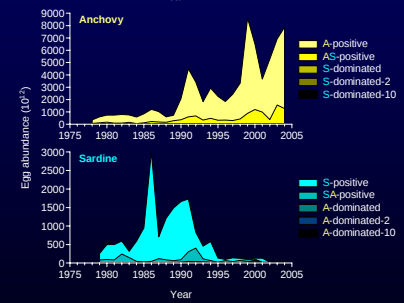
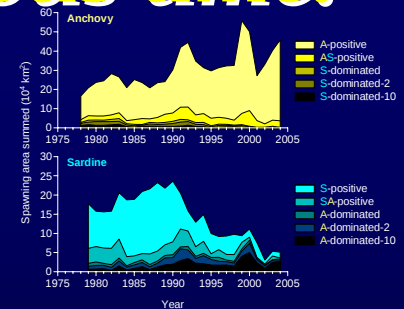
Seasonal changes in spawning overlaps

Thank you for your precious time.



Differential optimal growth temperatures

Species-specific temperature optima



"Optimal growth temperature" hypothesis

Conceptual framework

Decadal shifts of spawning overlaps